

How Software Acquisition & DevSecOps Increase the Lethality of the DoD

neo@dod:~/\$ There is no spoon.

Sean Brady DoD Senior Lead for SW Acq USD(A&S)/Acq Enablers 1 Oct 2020





Software Development Infrastructure, Cybersecurity, and Enterprise Services

https://aaf.dau.edu/aaf/software/



There is no spoon. There is no prioritization of regulatory bureaucracy and procedural bottlenecks either.



National Defense Strategy

We must not accept cumbersome approval chains, wasteful applications of resources in uncompetitive space, or overly risk-averse thinking that impedes change.





The United States of America

DEPARTMENT OF DEFINE DEFINE SCIENCE BOARD DESIGN AND ACQUISITION OF SOFTWARE FOR DEFENSE SYSTEMS







May 3, 2019

• ...prioritize speed of delivery, continuous adaptation, and frequent modular upgrades.

• The Department must change. The United States must have the ability to update our systems rapidly.

• Speed & Cycle time matter. Faster is more reliable. More Secure. Faster is possible.



Congressional Direction to Modernize





Approximating Commercial Industry



"... the thread that runs through all of our programs and all that we do is software and I believe that we need to catch up with the private sector ..." USD(A&S), HON Ellen Lord



Gen Hyten - Vice Chairman of the Joint Chiefs of Staff

- 2nd priority: "do everything I can to **insert speed** into the processes inside the Pentagon."
 - [our] processes are not built for speed..they're built to remove risk. ...a process designed to remove all risk, becomes very structured, very bureaucratic.
- The biggest thing we have to do in acquisition
 - allow people to take risk and delegate the responsibilities to people that are executing programs.
 - ...If you want to see a military person go fast, all you have to do is give them the authority and responsibility
- ...the process that we have for building software is horrible.

"What keeps me up at night is not North Korea, but that the U.S. has lost it's ability to go fast." - Gen Hyten as STRATCOM Commander at AFA in 2017



https://www.csis.org/events/conversation-general-john-hyten-vice-chairman-joint-chiefs-staff

Air Force's XQ-58A Valkyrie Attritable Combat Drone

Navy's Medium Displacement Unmanned Surface Vehicle (MDUSV)

Navy's X-47B Unmanned Combat Air System

https://www.northropgrumman.com/what-we-do/air/x-47b-ucas/

Army's Leader Follower Autonomous Vehicle Program

https://www.autonews.com/shift/military-working-make-its-autonomous-technology-smarter

Army's Artificial Intelligence for Maneuver and Mobility, or AIMM

https://www.arm.umi/article/236733/army_researchers_augment_combat_vehicles_with_ai



SW Delivery at the Speed of Relevance?



New Way?



The threat of the same-old, same-old pace is real. And the result is the U.S. is losing its technical edge to great-power competitors like China.



"We could be changing software <u>every day</u> as a necessary factor for winning."



To facilitate rapid and iterative delivery of software capability to the user.

Source: DODI 5000.02 Section 4.2



https://aaf.dau.edu/aaf/software/

Key Elements of SW Acquisition Pathway



- Modern software development practices (Agile, DevSecOps, Lean)
- Capitalizing on active user engagement and enterprise services
- Software is rapidly and iteratively delivered to the operational environment to meet the highest priority user needs
- Tightly coupled mission-focused government-industry software teams
- Automated tools for development, integration, testing, certification



Source: <u>DODI 5000.02</u> <u>Section 4.2</u>



FY20 NDAA Section 800

Establish two pathways to provide for the efficient and effective acquisition, development, integration, and timely delivery of secure software

Applications and Embedded Systems

	of the United States of America
	United States of America
	AT THE FIRST SESSION
	Begun and held at the City of Washington on Thursday, the third day of January two thermand and size term
	An Act
To authorize appropriations for fis of the Department of Energy,	cal year 2020 for military activities of the Department of Defense, for military construction, and for defense activit to prescribe military personnel strengths for such fiscal year, and for other purposes.
Be it enacted by the Senate an	d House of Representatives of the United States of America in Congress assembled,
SECTION 1. SHORT TITLE.	
This Act may be cited as the "	National Defense Authorization Act for Fiscal Year 2020".
SEC 2 OPCANIZATION OF M	CT INTO BUIRIONS, TABLE OF CONTENTS
(a) DIVISIONS This Act is o	organized into four divisions as follows:
(1) Division A-Departm	ient of Defense Authorizations.
(2) Division B-Military	Construction Authorizations.
(3) Division C-Departm	nent of Energy National Security Authorizations and Other Authorizations.
(4) Division D-Funding	Tables.
(5) Division E-Intellige	nce Authorizations for Fiscal Years 2018, 2019, and 2020.
(6) Division F-Other M	atters.
(b) TABLE OF CONTENTS	-The table of contents for this Act is as follows:
Sec. 1. Short title.	
Sec. 2. Organization of Act into divisions:	uble of contents.

- Software programs shall <u>not</u> be treated as an MDAP
- <u>Exempt</u> from JCIDS (unless VCJCS, A&S, SAEs agree on new process)
- <u>Streamline</u> SW requirements, budget, acquisition processes
- Demonstrate viability and effectiveness of capabilities for operational use within <u>one year</u> after funds first obligated



Software Acquisition Pathway



Software Development Infrastructure, Cybersecurity, and Enterprise Services

https://aaf.dau.edu/aaf/software/



Planning Phase



Focuses on understanding the users' and systems' needs and planning the approach to deliver capabilities to meet those needs

Key Artifacts

- Capability Needs Statement
- User Agreement
- Program Strategies
- Cost Estimate



Agreement between the operational and acquisition communities to gain ensure active user involvement and decisions



- Ensure proper resourcing of user involvement to support development
- Commit to active user involvement throughout design and development during planning phase
- Signed by sponsor, PMO prior to entry into Execution Phase

Establish Strong Ties to Users from Start

https://aaf.dau.edu/aaf/software/user-engagement/



Execution Phase – Key Activities



Continuous improvement

of designs, services, strategies, processes, and capability deliveries to maximize mission impact.

Product Roadmap

- Develop summary of planned releases over time
- Create backlogs
- Active User Engagements
 - Understand ops environment, user feedback
- Develop and Deliver Software
 - Small, frequent releases to operations
 - Deliver MVP and MVCR within a year
 - Use Ent Services, DevSecOps pipeline
 - Engage T&E, Cyber, and Product Support
- Track metrics; Assess value to users



DSO Ref Design: Pillars



Figure 4: DevSecOps Pillars



Quantitative Impact:

Over a 6-month period, JIDO measured Key Performance Indicator (KPI) impact against pre- and post-DevSecOps enablement.

KPI	Definition	Legacy	DevSecOps Enabled	%/\$ Impact
Availability Acceptable Quality Level (AQL)	Service Level Acceptable Quality Level (AQL) for Average Operational Availability of services	99.5%	99.9%	+3 HRS MONTHLY UPTIME
Continuous Authorization	Average time to complete code deployment after initial A&A	23 Days	6 Hours	92% FASTER
Deployment Frequency	The frequency new code reach customers	11	98 Releases	891% INCREASE
Initial System Authorization	Cybersecurity risk assessment threshold determination for pipeline including major system design and compliance with DoD Risk Management Framework	12 Months	3 Month	75% REDUCTION
Lead Time Reduction	The time from the start of a development cycle (the first new code) to deployment is the change lead time	169.83 Days	12 Days	93% REDUCTION
Mean Time to Provision	The average time that it takes to add additional services to an environment	6 Months	2 Hours	99.79% REDUCTION
Mean Time to Recovery	The average time from deployment failure to recovery	15.5 Minutes	4 Minutes	74% REDUCTION
Operating Cost	Change in operating costs based on leveraging open source tooling vs legacy COTs dependent architecture	\$1.8M	\$150K	91.66% REDUCTION



DevSecOps Maturity Very Difficult to Adopt – Requires time - \$

Continuous ATO (cATO) enables bug and security fixes in minutes instead of months to years, and provides rapid deployment of critical capabilities to the war fighter at the speed of relevance.





DevSecOps Success: Value@Scale

Delivery Throughput = [Lead Time] + [Deployment Frequency]

Value [Failed Deployments] + [Value to User]





As lead time decreases and deployment frequency increases; ability to deliver value at scale increases.

Stark Brady Smith Trijoined Triangles of DSO Success

Scale [Mean Time To Recover] + [% deployed to fleet]

DevSecOps BS DETECTOR: Broken Value/Availability/Delivery



- Tailored acquisition processes for software development
- No formal milestones Delegated decision authorities
- <u>Exempt</u> from JCIDS (unless VCJCS, A&S, SAEs agree on new process)
 - Streamlined requirements process and iterative backlogs
 - Continue work with Joint Staff on a modified/expedited process
- Streamlined reviews & documentation requirements No MDAPs
- Leverages enterprise services & not "rebuilding the factory" for every program
- Program tailoring and flexibility for Services/Agencies



Stay Engaged

Email: sean.p.brady.civ@mail.mil

AAF Website: https://aaf.dau.edu/aaf/software/ SW Pathway Col: https://www.milsuite.mil/book/groups/sw-pathway-community-of-interest Join our CoP Newsletter: https://www.acq.osd.mil/ae/#/acquisition-approaches-management

Sean Brady DoD Senior Lead for SW Acq USD(A&S)/Acq Enablers Sep 2020





Backup Slides



Adaptive Acquisition Framework



A set of acquisition pathways to enable the workforce to tailor strategies to deliver better solutions faster.

https://aaf.dau.edu/



Software Acquisition Pathway Policy

I	THE UNDER SECRETARY OF DEFENSE 3010 DEFENSE PENTAGON WASHINGTON, DC 20301-3010				SUBST OF DIA
ACQUISITION ND SUSTAINMENT MEMORANDUM F	JAN 0 3 2020 FOR: SEE DISTRIBUTION				
SUBJECT: Software	Acquisition Pathway Interim Policy and Procedures	_			4113
<u>Purpose</u> . Thi the management of t DoD Directive 5134. Section 800 of the N	is interim policy establishes direction, responsibilities, and procedures for he Software Acquisition Pathway pursuant to the authorities outlined in .01, the July 13, 2018, Deputy Secretary of Defense Memorandum, and ational Defense Authorization Act for FY 2020. Further, this interim			DOD INSTR Soft	UCTION 5000.XX Operation of the ware Acquisition Pathway
policy:		_		Originating Component:	Office of the Under Secretary of Defense for Acquisition and Sustainment
 Simplifie software capa 	s the acquisition model to enable continuous integration and delivery of ability on timelines relevant to the Warfighter/end user.	_		Effective:	Month Day, Year
Establish and developm Establish	es the Sonware Acquisition railway as the preferred path for acquisition nent of software-intensive systems.	_		Releasability:	Cleared for public release.
acquisition a	nd development.	_		Approved by:	Ellen M. Lord, Under Secretary of Defense for Acquisition and
This interim signature of this mer	policy will be replaced by issuance of a DoD Instruction within a year of no.				Sustamment
Applicability. T	his interim policy applies to:	_		In accordance with the au	thority in DoD Directive 5134.01 and the July 13, 2018 Deputy
 a) The Office of the Chairman the Office of Agencies, the (referred to c b) Acquisition, system appro effectiveness which funds intensive sys software or a Recompises 	f the Secretary of Defense (OSD), the Military Departments, the Office of of the Joint Chiefs of Staff and the Joint Staff, the Combatant Commands, the Inspector General of the Department of Defense (DoD), the Defense DOD Field Activities, and all other organizational entities within the DoD olicetively in this interim policy as the "DOD Components", development, operations, and sustainment of any DoD software-intensive wed to use this pathway in order to demonstrate the viability and of capabilities for operational use not later than one year after the date on are first obligated to acquire or develop new software capability. Software- tems include software-only systems, such as Command & Control (C2) pplications; weapon system software; such as Intelligence, Surveillance, and or (SBX software-embedded mission plannins onforware or respected of the order of the software of the order of the o			Secretary of Defense Men responsibilities, and pres pathways to provide for t and timely delivery of sec of Public Law 116-92.	norandum, this issuance establishes policy, assigns cribes procedures for the establishment of software acquisition he efficient and effective acquisition, development, integration, ure software in accordance with the requirements of Section 8
Situational A commercial o definition of The-Shelf (C use this path	The forsy software, conceased inaster plating software of conceased waveness software and any other custom-developed software running on or modified commercial hardware. Software programs that meet the a Defense Business System (DBS) and primarily acquire Commercial-Off- OTS) components will follow DoDI 5000.75 procedures but may elect to way for custom developed software.				

Provides initial structure and policies for software acquisition pathway. To be replaced by DODI in 1 year.

Interim Policy – 3 Jan 2020

Final policy aligned with 5000 series, implements FY20 NDAA, insights from initial policy/pilots.

DODI 5000.UP – Fall 2020

Interim Policy Memo



A high-level capture of need that provides enough information to define the software solution space, considering the threat environment.

- Sponsor and Requirements Manager ID operational software capabilities needed
- Draft CNS to start the Software Pathway
- Refine during Planning Phase and approve prior to entry into Execution Phase



Clear Understanding of What is Needed

https://aaf.dau.edu/aaf/software/user-engagement/

Draft CNS Template

25



Evolving Software "Requirements"





Critical to the success of software development to ensure delivered software address their priority needs



- Understand their needs and operational environment
- Solicit their feedback on MVPs, designs, developments



Develop and Deliver Software



Software Development Infrastructure, Cybersecurity, and Enterprise Services

- Small, frequent releases
- Tailored software team's practices (Agile, DevSecOps)
- Heavily integrated, automated testing, cybersecurity
- Leverage enterprise services, DevSecOps pipelines



National Defense Strategy



National Defense Strategy

"Deliver performance at the speed of relevance."

"Prioritize speed of delivery, continuous adaptation, and frequent modular upgrades."

"Success no longer goes to the country that develops a new technology first, but rather to the one that better integrates it and adapts its way of fighting."

"What keeps me up at night is ... that the U.S. has lost it's ability to go fast." Software Acquisition Pathway and DevSecOps provides the framework (people, process, tools, and policy) that prioritizes speed and adaptability to win a future fight



Strategic Shaping of SW Acq Pathway

Defense Innovation Board (DIB) SWaP Study



Congressionally driven Agile Pilots

FY20 NDAA Section 800

One Hundred Sixteenth Congress of the

United States of America

gun and held at the City of Washington on Th he third day of January, two thousand and nir

appropriations for fiscal year 2020 for military activities of the Department of Defense, for military cor epartment of Energy, to prescribe military personnel strengths for such fiscal year, and for other purpos

(3) Division C-Department of Energy National Security Authorizations and Other Authorization

(5) Division E-Intelligence Authorizations for Fiscal Years 2018, 2019, and 2020

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ORGANIZATION OF ACT INTO DIVISIONS: TABLE OF CONTENT

SECTION 1. SHORT TITLE. This Act may be cited as the

Sec. 2. Organization of Act into divisions table

Sec. 4. Budgetary effects of this Act.

An Act

	*	•

Speed and cycle times are top metrics Pilot Agile with flexibility on new, struggling programs Exempt from JCIDS*, MDAP, streamline processes, deliver within 1 year

DIVISION A-DEPARTMENT OF DEFENSE AUTHORIZATIONS

https://innovation.defense.gov/software/

*Unless new process developed



Application Path	Rapid development and deployment of software running on commercial hardware (including modified hardware) and cloud computing platforms.
Embedded Software Path	Rapid development and insertion of upgrades and improvements for software embedded in weapon systems and other military-unique hardware systems.





Integrated Teams Across Operations and Acquisition; Government and Vendors; All Functions and Levels



Fundamental principle: Cyber is to be addressed early and throughout the lifecycle using maximum possible automation; continually assessed and measured.

- Engineering resilience into the system
 - Development and tracking of a set of **metrics** to assess and manage the performance, progress, speed, **cybersecurity**, and quality of the software
 - Development of an IP strategy that supports cybersecurity and testing requirements
 - **Recurring assessment** of the supply chain, development environment, processes and tools

DevSecOps helps scale cyber & threat assessments: Automated and continuous monitoring of lower-level threats frees up effort for higher-level threats.



- Early and thorough DT&E to "Shift Left"; providing feedback to support "test, fix, and re-test"
 - Development of an IP strategy that supports cybersecurity and testing requirements
 - Recurring assessment of the supply chain, development environment, processes and tools
 - Continuous and automated cybersecurity tests and cyber threat testing, especially to support a continuous ATO or an accelerated accreditation process to the maximum extent practicable
- Timely release of software updates to address cybersecurity vulnerabilities; no less frequently than annually but potentially out-of-cycle based on criticality

DevSecOps helps scale cyber & threat assessments: Automated and continuous monitoring of lower-level threats frees up effort for higher-level threats.



Plan For Enterprise Services and DevSecOps Pipeline (Software Factory)

People + Process + Tools = DSO Ecosystem

- Well-balanced Ecosystem & skilled workforce: path to DSO enlightenment
- Keystones:
 - Culture and Continuous improvement
 - Test Driven Development & Frequent Small Batch Delivery
 - Evolutionary Architecture must support frequent deliveries/interoperability
 - Refactoring and pay down technical debt





- The Sec in DevSecOps is baked into the planning, architecture and design, and embedded throughout the entire process
- DevSecOps shifts Cybersecurity to the left; true risk managed process





Software Acquisition Pathway

Visit AAF website for integrated policy, guidance, and resources



AAF > Software Acquisition



https://aaf.dau.edu/aaf/software/



SW Acquisition Pathway COI

Visit the Community of Interest to collaborate across the community on best practices, lessons learned, and draft templates

Ask questions here and we're also compiling FAQs



https://www.milsuite.mil/book/groups/sw-pathway-community-of-interest (Requires CAC)



1: Upgrading a Weapon System

Major Capability Acquisition





4: Weapon System w/HW&SW Development





S: Sponsor/Users PM: Program Manager DA: Decision Authority SE: Systems Engineer TE: Test CON: Contracting Officer FM: Financial Management