

Software Solutions Symposium 2017

March 20–23, 2017

Applied Machine Learning in Software Engineering

Eliezer Kanal

Software Engineering Institute
Carnegie Mellon University
Pittsburgh, PA 15213



Copyright 2017 Carnegie Mellon University

This material is based upon work funded and supported by the Department of Defense under Contract No. FA8721-05-C-0003 with Carnegie Mellon University for the operation of the Software Engineering Institute, a federally funded research and development center.

NO WARRANTY. THIS CARNEGIE MELLON UNIVERSITY AND SOFTWARE ENGINEERING INSTITUTE MATERIAL IS FURNISHED ON AN “AS-IS” BASIS. CARNEGIE MELLON UNIVERSITY MAKES NO WARRANTIES OF ANY KIND, EITHER EXPRESSED OR IMPLIED, AS TO ANY MATTER INCLUDING, BUT NOT LIMITED TO, WARRANTY OF FITNESS FOR PURPOSE OR MERCHANTABILITY, EXCLUSIVITY, OR RESULTS OBTAINED FROM USE OF THE MATERIAL. CARNEGIE MELLON UNIVERSITY DOES NOT MAKE ANY WARRANTY OF ANY KIND WITH RESPECT TO FREEDOM FROM PATENT, TRADEMARK, OR COPYRIGHT INFRINGEMENT.

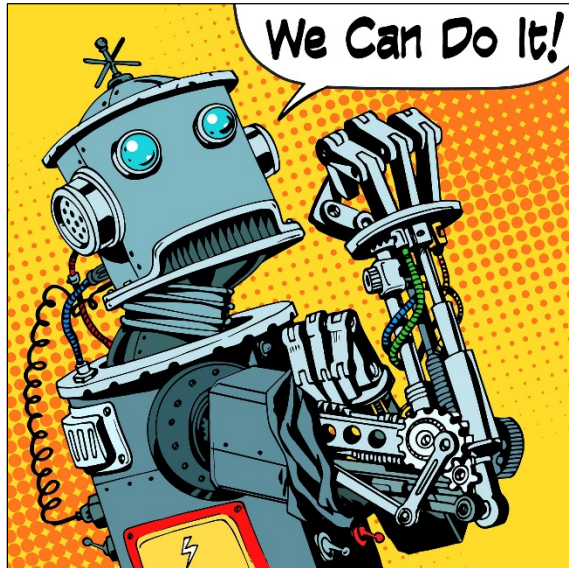
[Distribution Statement A] This material has been approved for public release and unlimited distribution. Please see Copyright notice for non-US Government use and distribution.

This material may be reproduced in its entirety, without modification, and freely distributed in written or electronic form without requesting formal permission. Permission is required for any other use. Requests for permission should be directed to the Software Engineering Institute at permission@sei.cmu.edu.

Carnegie Mellon® and CERT® are registered marks of Carnegie Mellon University.

DM-0004563

What is Machine Learning?



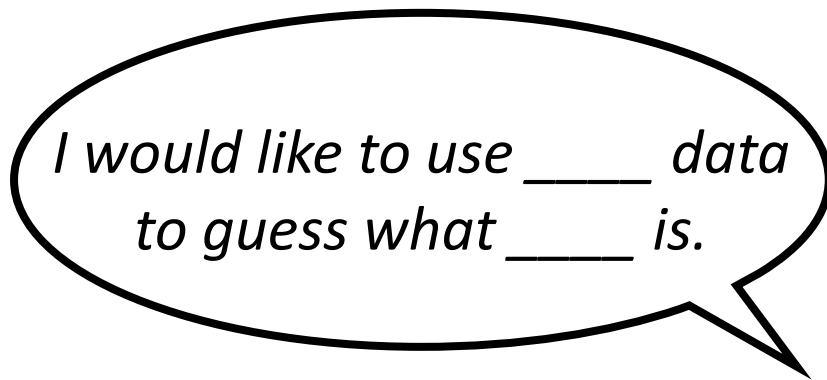
Tom Mitchell, former CMU Machine Learning department chair:

The field of Machine Learning asks the question, “How can we build computer systems that automatically improve with experience, and what are the fundamental laws that govern all learning processes?”

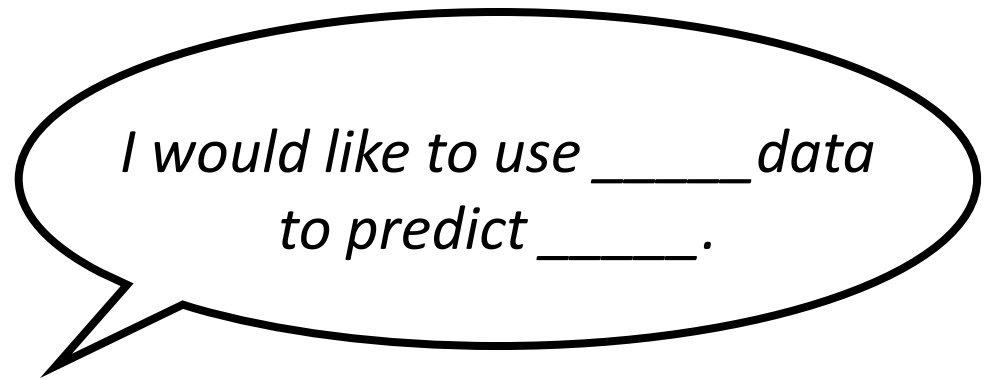
Machine Learning seeks to automate data analysis and inference.

What is Machine Learning?

If your problem can be stated as either of the following:



*I would like to use _____ data
to guess what _____ is.*



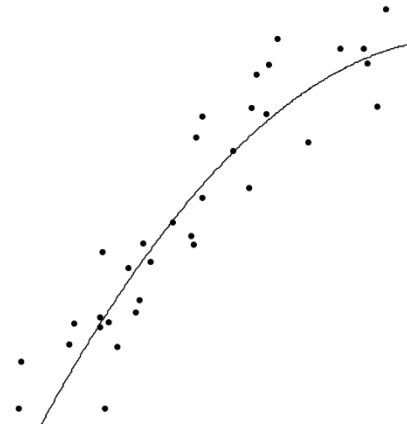
*I would like to use _____ data
to predict _____.*

...you would likely benefit from machine learning.

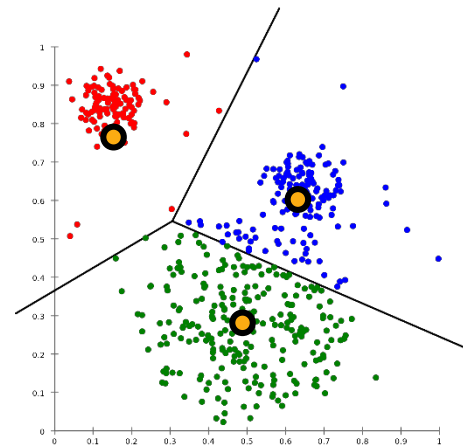
What is Machine Learning?

Sample Techniques:

- Regression



- K-Means Clustering



Clustering image: Weston.pace, https://commons.wikimedia.org/wiki/File:K_Means_Example_Step_4.svg

What is Machine Learning?

Feature Engineering:

Using existing data to create **more informative data**

Data Types

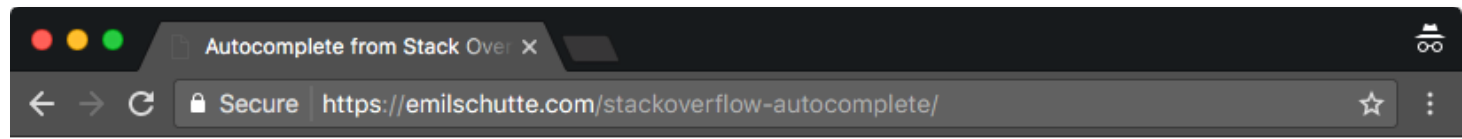
Image	Static Video
Time series	Financial data Event counts
Structured text	Web forms Structured data (JSON, XML) Source code
Free text	News Tweets Email
many more...	



What is Machine Learning?

Examples:

- I would like to use incident ticket data to predict customer needs .
- I would like to use publicly available code to predict what code I will write .
- I would like to use bug report data to guess the location of undetected bugs in my code .



Autocomplete from Stack Overflow

by [Emil Schutte](#)

Tired of writing code? Me too! Let's have Stack Overflow do it.

```
1 // Boss wants this function done by tomorrow :(
2 function contains(needle, haystack) {
3   var
4
```

(Try typing a space. JavaScript only, for now.)

How it works

I grabbed a Stack Overflow data dump from <https://archive.org/details/stackexchange> and scraped out any code snippets from

- accepted answers
- with more than 50 points
- on posts tagged "javascript"

Then I processed it by walking the ASTs of those snippets and creating a "completion" fragment for each node, pairing a trace of the left-hand context with the code snippet for the right-hand side.

To complete at run time, it uses the same logic to find the left-hand trace at the current cursor position, and tries to match that up against the database of completion fragments. Available completions are sorted by a proprietary blend of post score, left-hand context similarity, and nearby identifiers.

What is Machine Learning?

Examples:

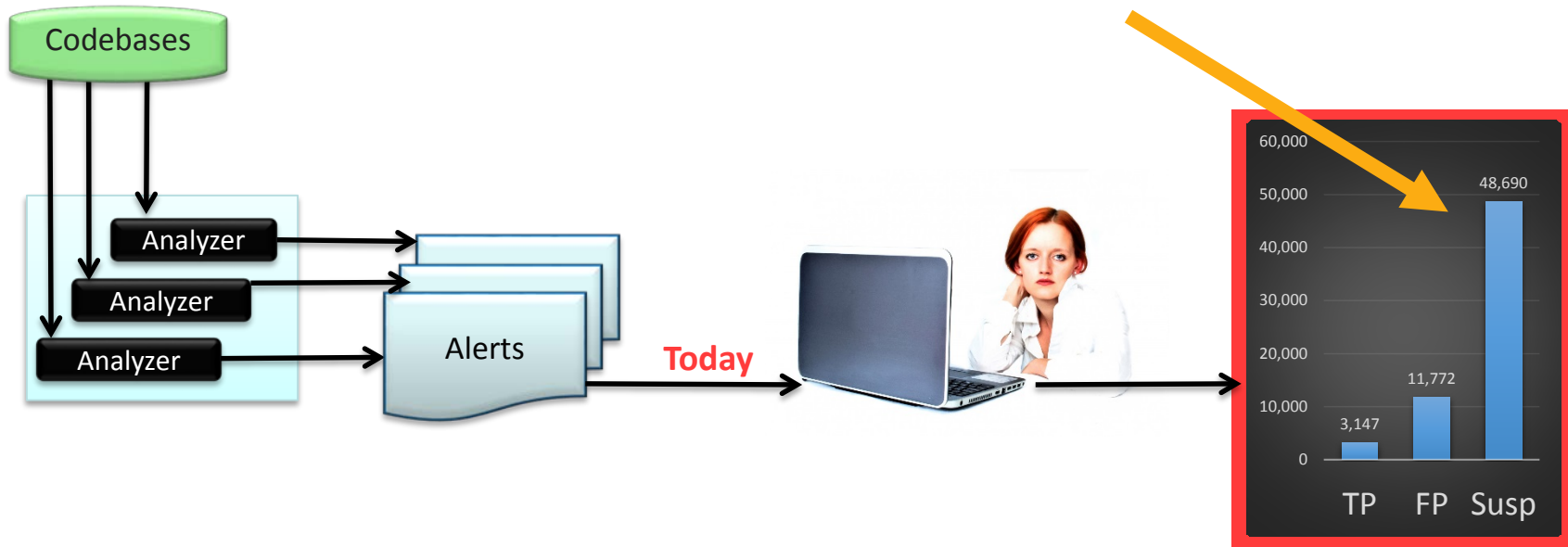
- I would like to use incident ticket data to predict customer needs .
- I would like to use publicly available code to predict what code I will write .
- I would like to use bug report data to guess the location of undetected bugs in my code .

Software Solutions Symposium 2017

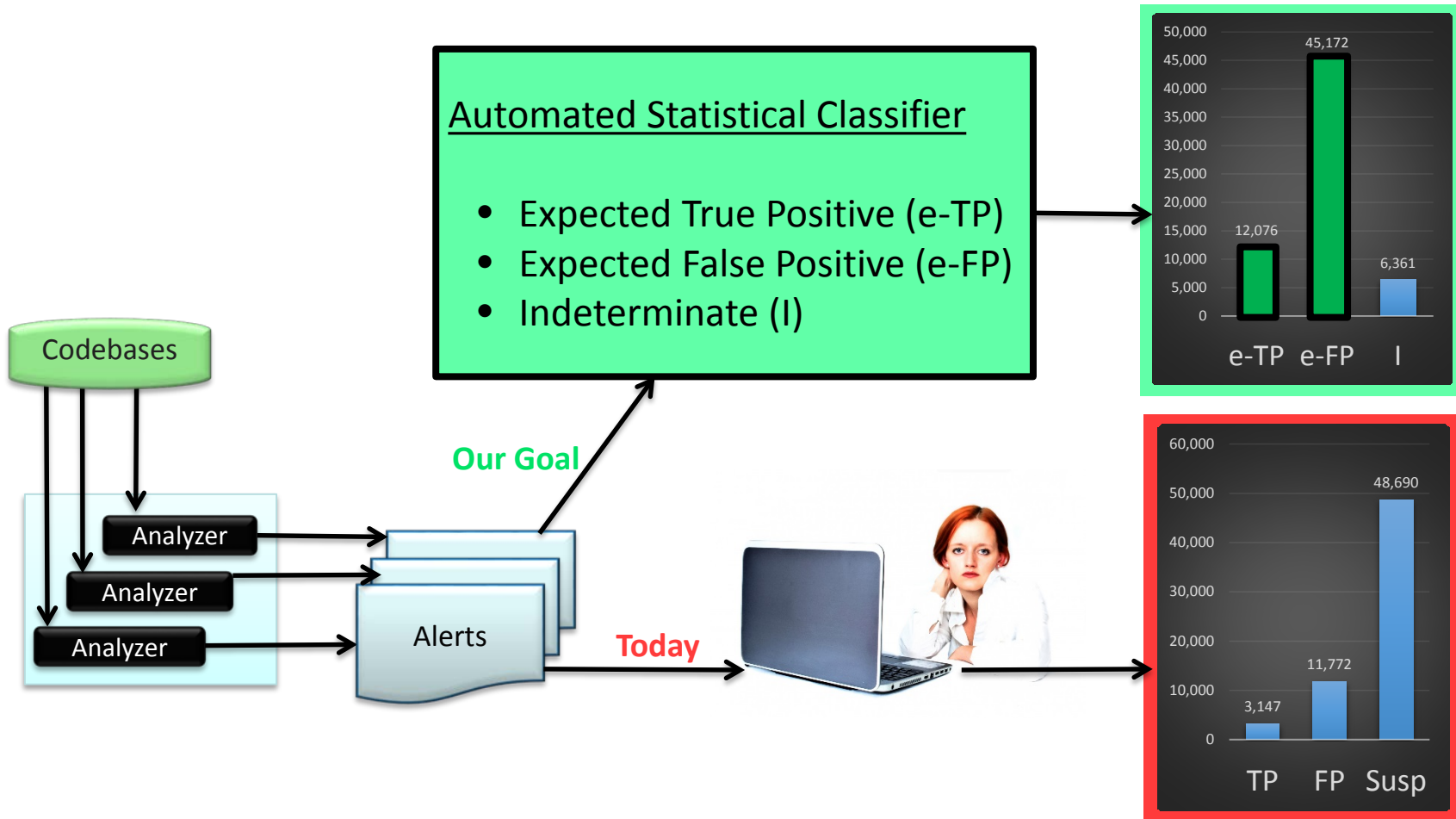
Applied ML: Vulnerability Detection

Applied ML: Vulnerability Detection

Many alerts left unaudited!



Applied ML: Vulnerability Detection



Applied ML: Vulnerability Detection

Classifiers

Lasso Logistic Regression

CART

Random Forest

Extreme Gradient

Boosting (XGBoost)

Some of the features used

Analysis tools used

Tokens in func/method

Significant LOC

Alerts in func/method

Complexity

Alerts in file

Coupling

Methods in file

Cohesion

SLOC in file

SEI coding rule

Avg Tokens

Function/method length

Avg SLOC

SLOC in func/method

Depth in code repository

parameters in
func/meth.

Cyclomatic complexity
(func/meth)

Applied ML: Vulnerability Detection

Significant improvement!

- 91% Classifier accuracy overall
- Specific rule accuracy at right
- 10x developer time saved!

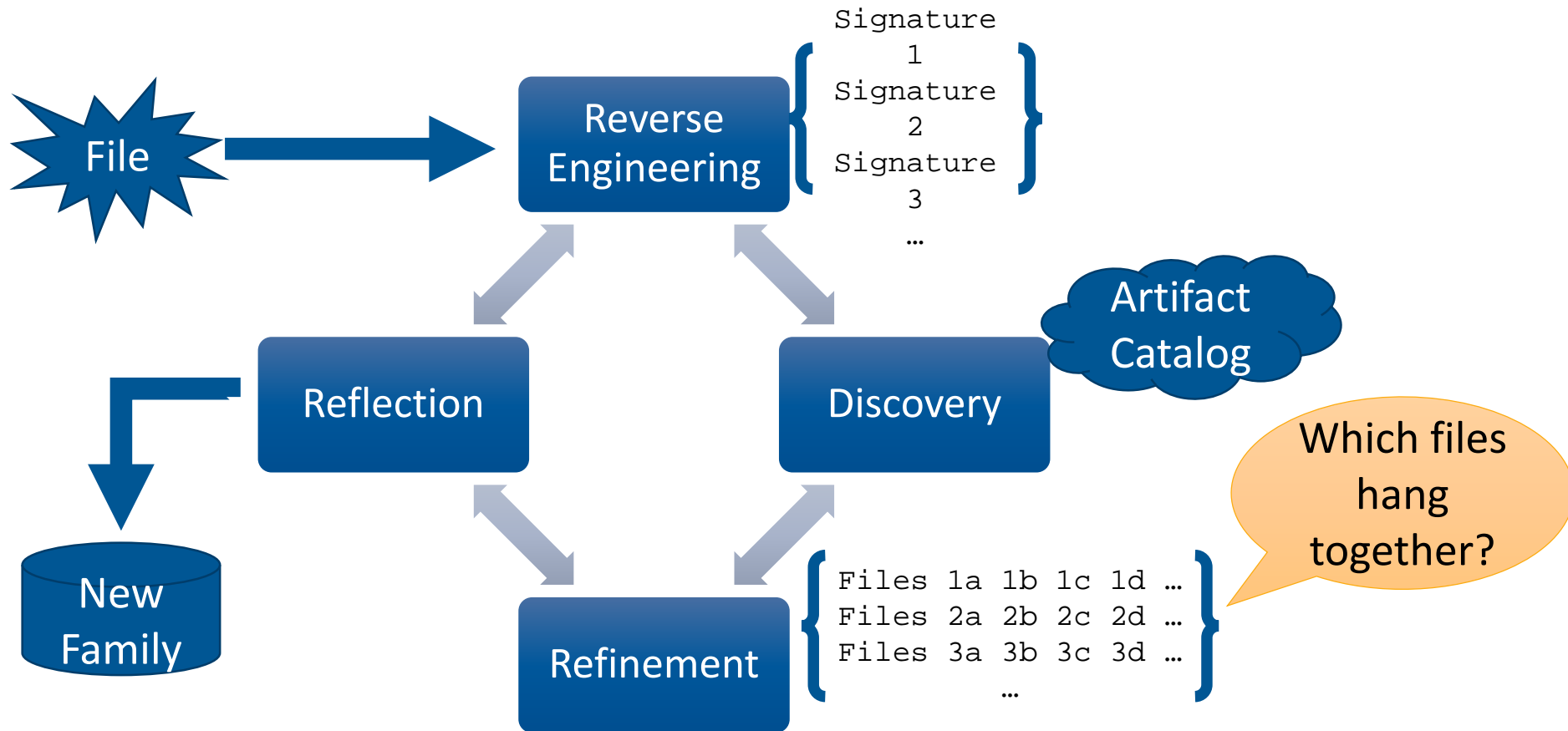
Rule ID	XGBoost
INT31-C	97%
EXP01-J	74%
OBJ03-J	83%
FIO04-J*	80%
EXP33-C*	83%
EXP34-C*	72%
DCL36-C*	100%
ERR08-J*	100%
IDS00-J*	96%
ERR01-J*	100%
ERR09-J*	88%

* Small quantity of data

Software Solutions Symposium 2017

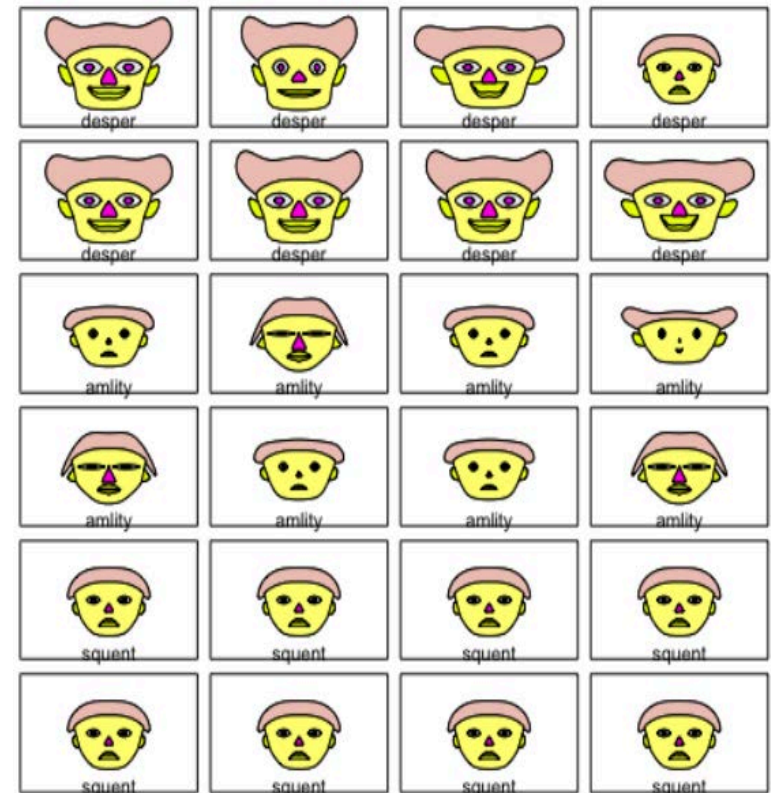
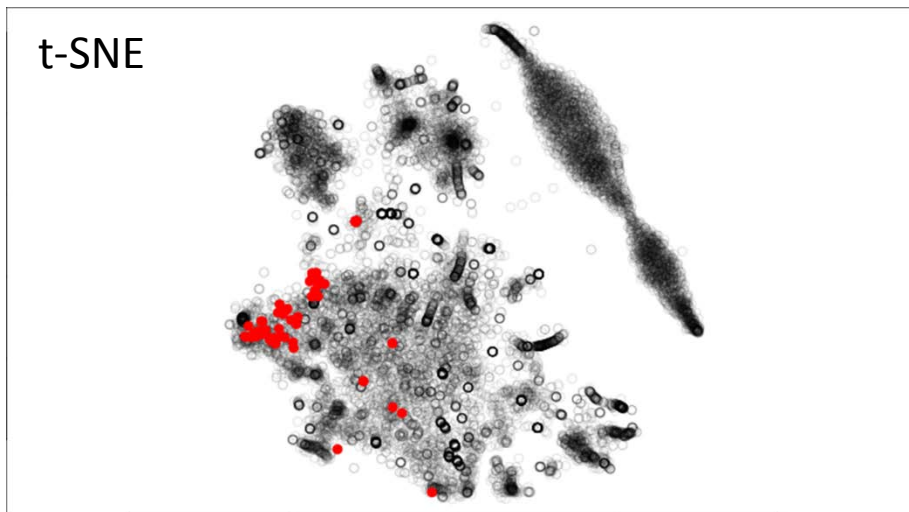
Applied ML: Malware family classification

Applied ML: Malware family classification



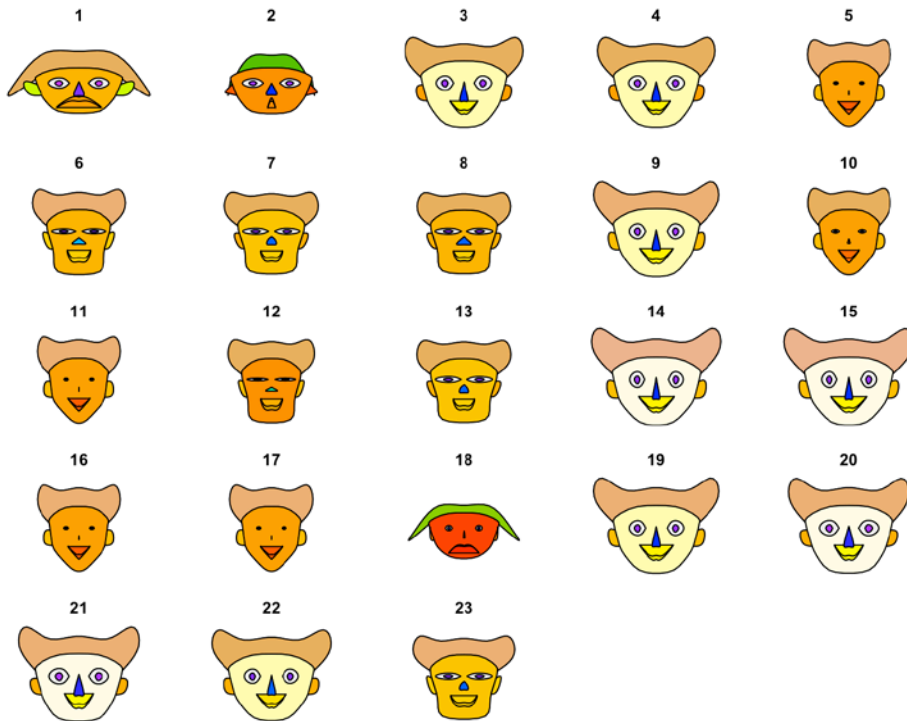
Applied ML: Malware family classification

Simplify visualization of extremely complex data through the use of dimensionality reduction and associated visualization techniques



Chernoff face experiment

Applied ML: Malware family classification



- Discovery found 23 files
- Manual reverse engineering was slow: only two files in 5 days
- Visualizing files with **Chernoff faces** immediately suggests groups of related files.
- Analysis burden shifts from **forming candidate groups** to **verifying groups**
- Faster and cheaper = happy clients

Software Solutions Symposium 2017

Applied ML: Software cost estimation

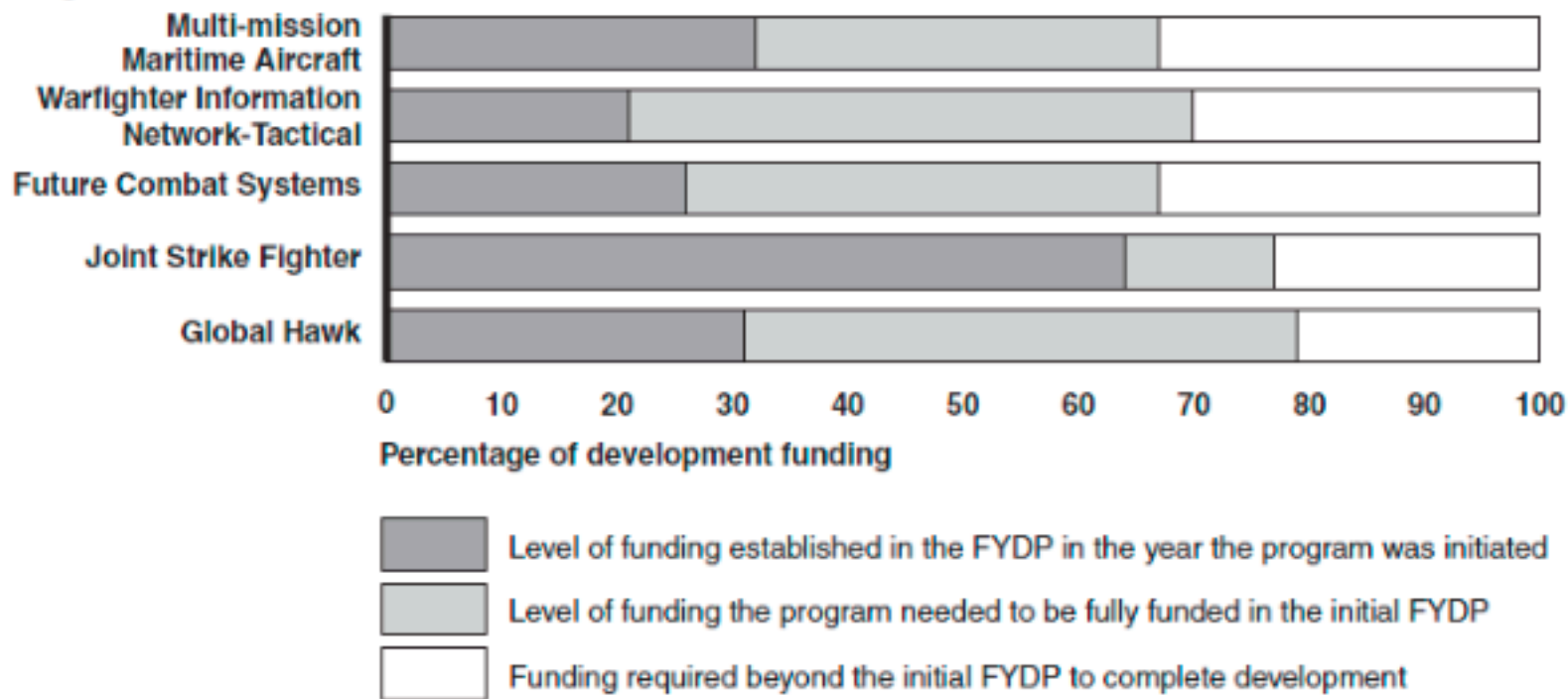
Robert Ferguson, Dennis Goldenson, James McCurley, Robert W. Stoddard, David Zubrow, Debra Anderson. "Quantifying Uncertainty in Early Lifecycle Cost Estimation (QUELCE)". Dec 2011. <http://resources.sei.cmu.edu/library/asset-view.cfm?assetid=10039>

Applied ML: Software cost estimation

Table 2 Cost Overruns in DoD Acquisitions

Funding Shortfalls at the Start of Development for Five Major Weapon System Programs

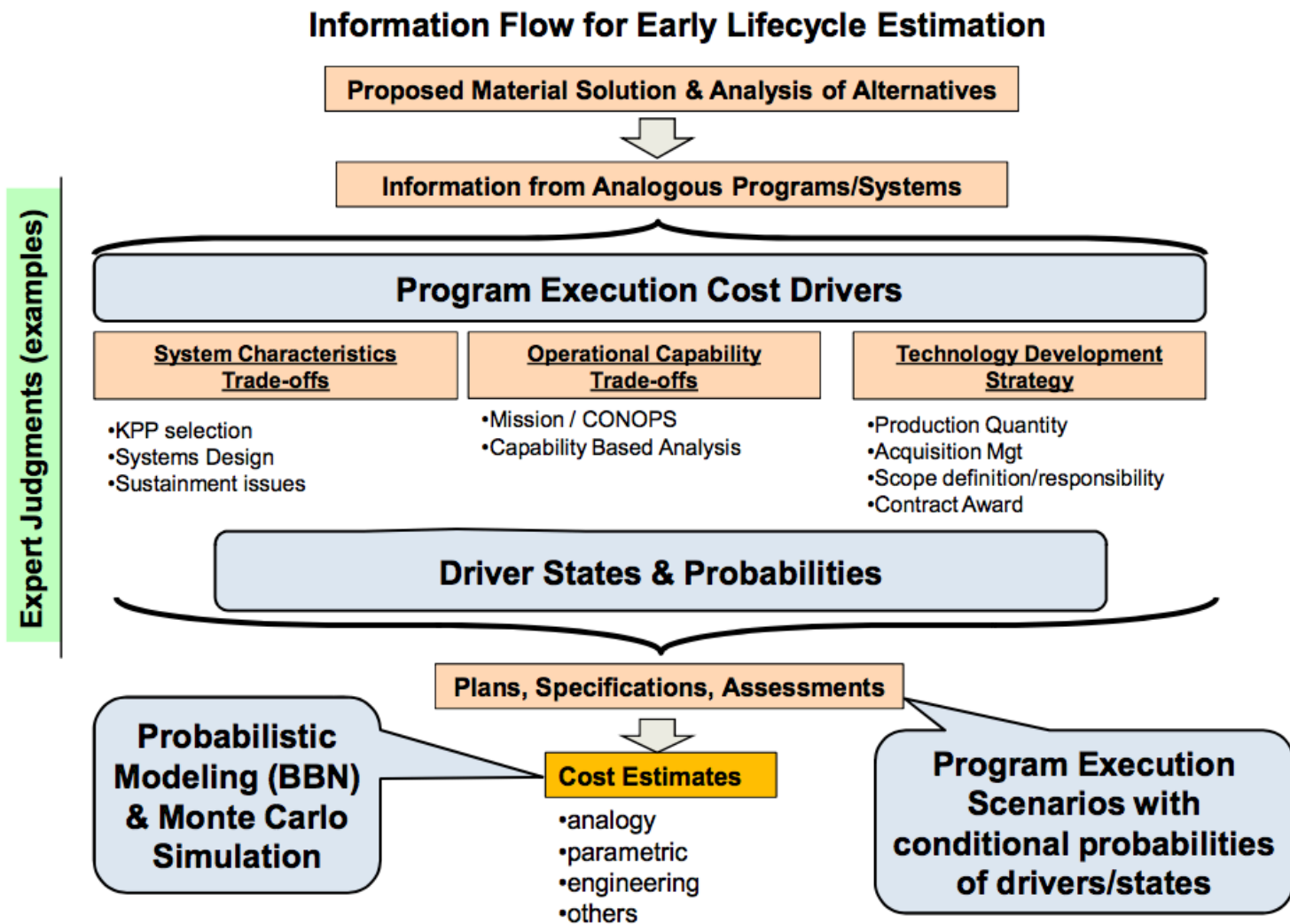
Program



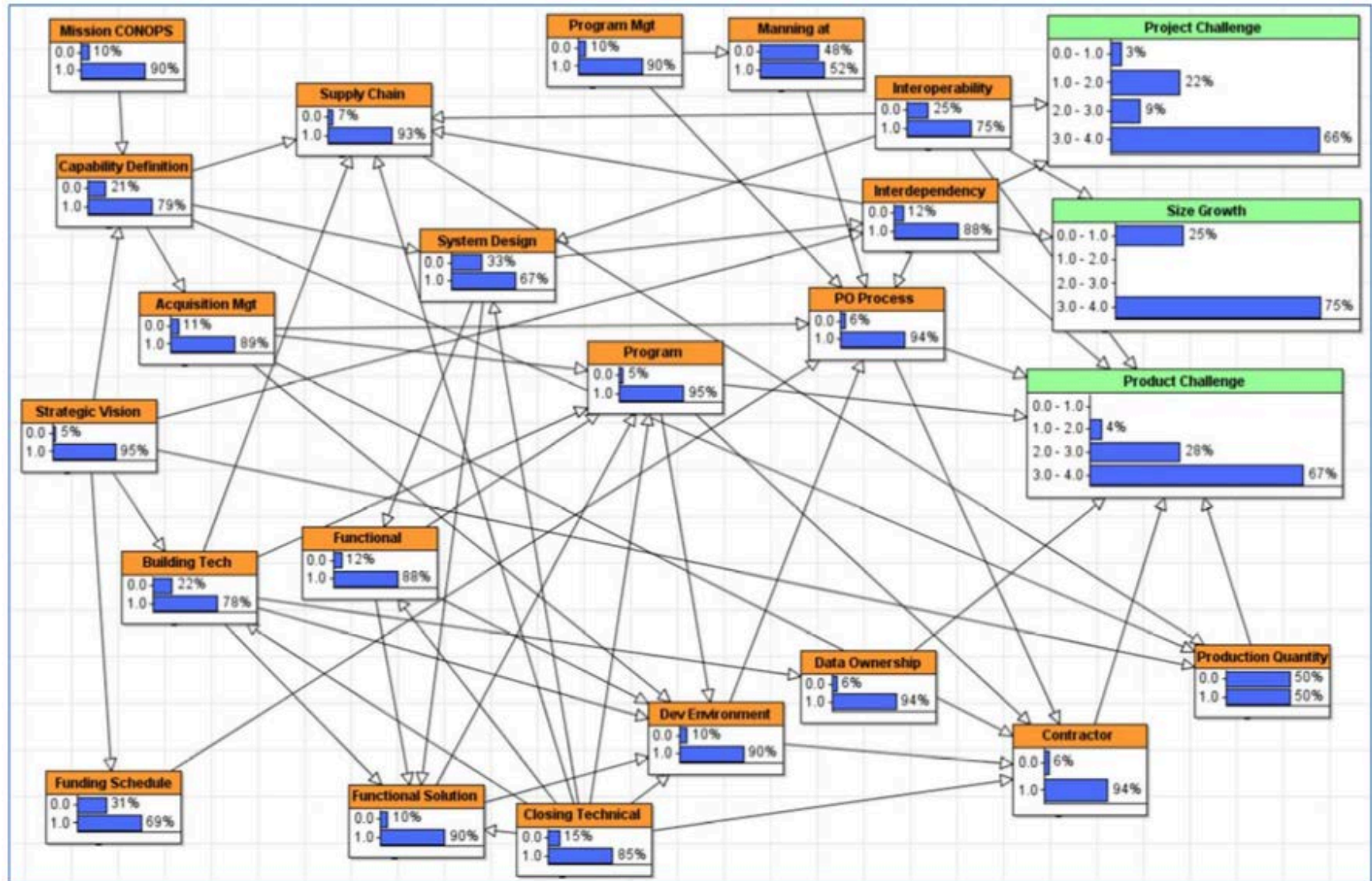
Source: DOD (data); GAO (analysis and presentation).

General Accounting Office. *Defense Acquisitions: A Knowledge-Based Funding Approach Could Improve Major Weapon System Program Outcomes*. Report to the Committee on Armed Services, U.S. Senate, July 2008, GAO-08-619.

Applied ML: Software cost estimation



Applied ML: Software cost estimation



Applied ML: Software cost estimation

Effects \ Causes	Causes																																				
	Scope Responsibility	Scope Definition	Mission / CONOPS	Capability Definition	Funding Schedule	Prog Mgt Structure	Manning at program office	Systems Design	Standards/Certifications	Acquisition Management	Program Mgt - Contractor Relations	Project Social / Dev Env	Supply Chain Vulnerabilities	Information sharing	PO Process Performance	Sustainment Issues	Contract Award	Contractor Performance	Production Quantity	Data Ownership	Change in Strategic Vision	Advocacy Change	Industry Company Assessment	Cost Estimate	Test & Evaluation	Closing Technical Gaps (CBA)	Building Technical Capability & Capacity	Functional Measures	Functional Solution Criteria (measure)	Interdependency	Interoperability	Size	Project Challenge	Product Challenge	Total		
Scope Responsibility	1																																		8		
Scope Definition	3	2																																		5	
Mission / CONOPS	0	3	3																																	6	
Capability Definition				3																																6	
Funding Schedule				0	0	0																														16	
Prog Mgt Structure					1																															5	
Manning at program office						2																														6	
Systems Design	1						2																													5	
Standards/Certifications								3																													23
Acquisition Management								2	2																												10
Program Mgt - Contractor Relations										2	3	1	1	2																							20
Project Social / Dev Env											2	1	1	1	1	1																				15	
Supply Chain Vulnerabilities					1			1	1	1																											7
Information sharing																																					7
PO Process Performance																																					4
Sustainment Issues																																					0
Contract Award																																					0
Contractor Performance																																					2
Production Quantity																																					2
Data Ownership																																					2
Change in Strategic Vision					3	2																															2
Advocacy Change	1	2																																			6
Industry Company Assessment																																					0
Cost Estimate																																					0
Test & Evaluation																																					0
Closing Technical Gaps (CBA)	1							3	1	1	2	2	2	1	0	2	2	2	2	1	1	1	2	2	2	1	1	0	2	2	2	1	1			37	
Building Technical Capability & Capacity (CBA)		1								1	2	2	2	3		2	2	1	1	2	0	1	2	1	1	0										29	
Functional Measures									1		2	2	1	1	1		1	1	1	1	1	1	1	1	2											17	
Functional Solution Criteria (measure)									1		2	2																									10
Interdependency	1	1			1	1	1	2	1	1	1	1	2	1	2	2		1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	34	
Interoperability	1	1						2	1	1	1	1	2	1	1	2		1	1	1	1	1	1	1	1	3		1	1	1	1	1	1	1	1	29	
Size																																					0
Project Challenge																																					0
Product Challenge																																					0
Totals	8	10	0	6	4	7	7	12	8	10	15	18	14	17	17	15	12	19	9	10	2	8	13	11	20	3	11	8	14	11	7	5	5	17	0		

Contact Information

Eliezer Kanal

Technical Manager

Telephone: +1 412.268.5204

Email: ekanal@sei.cmu.edu