

Micro-Expressions: More Than Meets the Eye

Using Software to Reveal True Emotions

Micro-expressions—involuntary, fleeting facial movements that reveal true emotions—hold valuable information for scenarios ranging from security interviews and interrogations to media analysis. We are developing a prototype software tool to recognize micro-expressions, identifying the emotions they reveal.

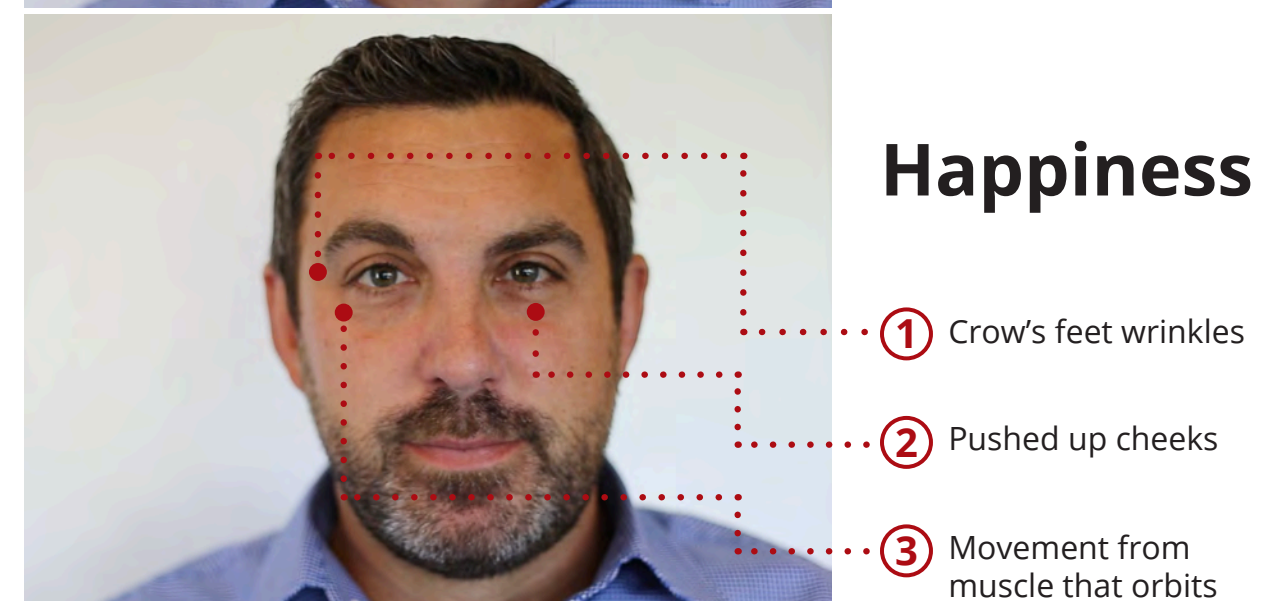
Micro-expressions: tiny movements with a lot of information. Micro-expressions can occur on various regions of the face and last only a fraction of a second. These movements have been shown to be universal across cultures, and they are very difficult to suppress.

Defense and intelligence applications.

Our work advances capabilities in human-machine teaming and machine emotional intelligence, and can be applied in a wide range of scenarios, including:

- security checkpoint encounters
- interrogations
- polygraph testing
- media analysis and exploitation
- detection of stress, PTSD

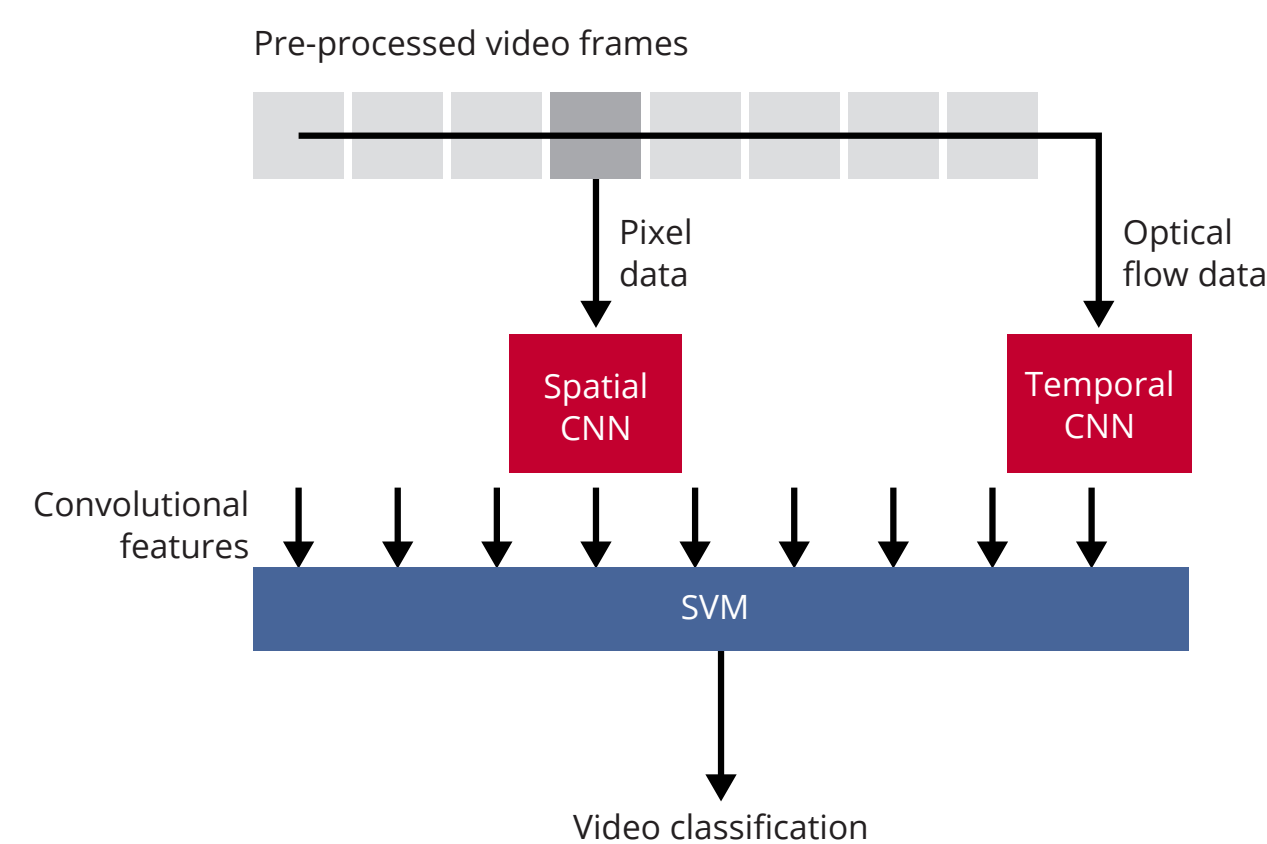
Current state of the art. Current tools for recognizing emotion (for example, Affectiva) can successfully identify emotions based on *macro-expressions* like broad smiles, exaggerated frowns, and obviously narrowed eyes and pursed lips. However, macro-expressions can be easily faked. Current approaches for recognizing *micro-expressions* use hand-crafted features and treat each video frame as a stand-alone image. This approach is brittle, is slow, and has limited accuracy.



Different features manifest at different time offsets and different speeds, making current frame-by-frame approaches to recognizing micro-expressions inaccurate.



An interesting test case for micro-expression recognition is poker tells: can our tool identify emotions highly skilled poker players intend to hide?

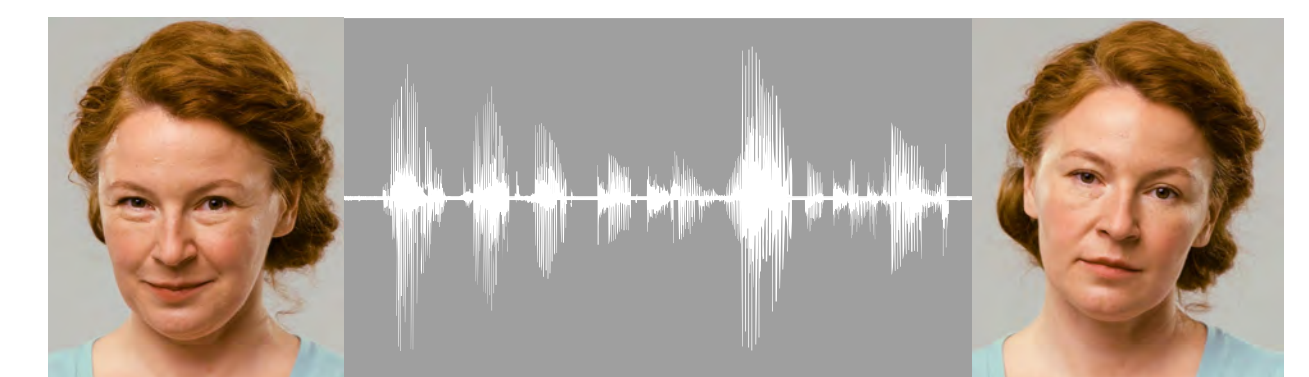


Our approach: We use machine learned features and incorporate optical flow to introduce temporal structure.

Results. We designed and built a micro-expression recognition system that improves upon the state of the art:

- We used machine-learned features that treat the whole face as a canvas, in contrast to traditional hand-crafted features and techniques that search pre-defined areas of the face for facial action units. Machine-learned features were generated with a pre-trained convolutional neural network.
- We combined optical flow data with frame-by-frame pixel information to better incorporate temporal structure into the recognition model.
- We used several pre-processing techniques, such as video interpolation via graph embedding, to improve accuracy or maintain accuracy while reducing runtime.

Next steps. A number of opportunities exist to extend our work in micro-expressions. Looking ahead, we are interested in combining micro-expression detection with recognition to increase the practicality of our solution, improving datasets to advance research in micro-expression recognition, and exploring solutions for long-running videos.



Future work includes recognizing emotion from voice.

Related work. Micro-expression recognition is part of a larger portfolio of SEI work in “machine emotional intelligence”—using physiological characteristics to enable machines to better understand humans. In 2016, we developed a tool to extract heart rate from video; our next project in this area is recognizing emotion from voice.

Copyright 2017 Carnegie Mellon University. All Rights Reserved.

This material is based upon work funded and supported by the Department of Defense under Contract No. FA8702-15-D-0002 with Carnegie Mellon University for the operation of the Software Engineering Institute, a federally funded research and development center.

The view, opinions, and/or findings contained in this material are those of the author(s) and should not be construed as an official Government position, policy, or decision, unless designated by other documentation.

NO WARRANTY. THIS CARNEGIE MELLON UNIVERSITY AND SOFTWARE ENGINEERING INSTITUTE MATERIAL IS FURNISHED ON AN "AS-IS" BASIS. CARNEGIE MELLON UNIVERSITY MAKES NO WARRANTIES OF ANY KIND, EITHER EXPRESSED OR IMPLIED, AS TO ANY MATTER INCLUDING, BUT NOT LIMITED TO, WARRANTY OF FITNESS FOR PURPOSE OR MERCHANTABILITY, EXCLUSIVITY, OR RESULTS OBTAINED FROM USE OF THE MATERIAL. CARNEGIE MELLON UNIVERSITY DOES NOT MAKE ANY WARRANTY OF ANY KIND WITH RESPECT TO FREEDOM FROM PATENT, TRADEMARK, OR COPYRIGHT INFRINGEMENT.

[DISTRIBUTION STATEMENT A] This material has been approved for public release and unlimited distribution. Please see Copyright notice for non-US Government use and distribution.

Internal use:* Permission to reproduce this material and to prepare derivative works from this material for internal use is granted, provided the copyright and "No Warranty" statements are included with all reproductions and derivative works.

External use:* This material may be reproduced in its entirety, without modification, and freely distributed in written or electronic form without requesting formal permission. Permission is required for any other external and/or commercial use. Requests for permission should be directed to the Software Engineering Institute at permission@sei.cmu.edu.

* These restrictions do not apply to U.S. government entities.

Carnegie Mellon® is registered in the U.S. Patent and Trademark Office by Carnegie Mellon University.

DM17-0740

Micro-Expressions: More Than Meets the Eye