Acquiring Evolving Technologies: Web Services Standards

Harry L. Levinson Liam O'Brien

February 2006

Acquisition Support Program

Unlimited distribution subject to the copyright.

Technical Note CMU/SEI-2006-TN-001

This work is sponsored by the U.S. Department of Defense.

The Software Engineering Institute is a federally funded research and development center sponsored by the U.S. Department of Defense.

Copyright 2006 Carnegie Mellon University.

NO WARRANTY

THIS CARNEGIE MELLON UNIVERSITY AND SOFTWARE ENGINEERING INSTITUTE MATERIAL IS FURNISHED ON AN "AS-IS" BASIS. CARNEGIE MELLON UNIVERSITY MAKES NO WARRANTIES OF ANY KIND, EITHER EXPRESSED OR IMPLIED, AS TO ANY MATTER INCLUDING, BUT NOT LIMITED TO, WARRANTY OF FITNESS FOR PURPOSE OR MERCHANTABILITY, EXCLUSIVITY, OR RESULTS OBTAINED FROM USE OF THE MATERIAL. CARNEGIE MELLON UNIVERSITY DOES NOT MAKE ANY WARRANTY OF ANY KIND WITH RESPECT TO FREEDOM FROM PATENT, TRADEMARK, OR COPYRIGHT INFRINGEMENT.

Use of any trademarks in this report is not intended in any way to infringe on the rights of the trademark holder.

Internal use. Permission to reproduce this document and to prepare derivative works from this document for internal use is granted, provided the copyright and "No Warranty" statements are included with all reproductions and derivative works.

External use. Requests for permission to reproduce this document or prepare derivative works of this document for external and commercial use should be addressed to the SEI Licensing Agent.

This work was created in the performance of Federal Government Contract Number FA8721-05-C-0003 with Carnegie Mellon University for the operation of the Software Engineering Institute, a federally funded research and development center. The Government of the United States has a royalty-free government-purpose license to use, duplicate, or disclose the work, in whole or in part and in any manner, and to have or permit others to do so, for government purposes pursuant to the copyright license under the clause at 252.227-7013.

For information about purchasing paper copies of SEI reports, please visit the publications portion of our Web site (http://www.sei.cmu.edu/publications/pubweb.html).

Contents

Ac	Acknowledgements		iii
Αb	stract		v
1	Intro	oduction	1
	1.1	Making Decisions	1
		1.1.1 The Challenge of Using COTS Components	2
		1.1.2 Technology Readiness Assessments	2
	1.2	The Challenge of Assessing Evolving Technology	3
2	The	Challenge of Assessing Web Services Standards	5
	2.1	Language Translation Services Project	5
	2.2	Quality Attributes	6
	2.3	Web Services Standards	7
3	Ass	essing the Appropriateness of Web Services Standards	9
	3.1	Assessing Appropriateness	9
	3.2	Selecting Relationships to Assess	10
	3.3	Developing an Assessment Tool	10
	3.4	Selecting a Rating Criteria	11
	3.5	Assessment Example	12
4	Con	clusion	14
Аp	pendi	x A Appropriateness Assessment Results	15
P۵	foronc	200	55

Acknowledgements

The authors would like to thank Paulo Merson, Mary Ann Lapham, John Foreman, Ted Marz, Bud Hammons, and Michael Bandor of the Carnegie Mellon[®] Software Engineering Institute (SEI) for their technical reviews. Their thoughtful comments greatly improved the quality of this report. The authors wish to thank Bob Ferguson and Linda Levine for sharing their knowledge and expertise. Thanks also to Susan Kushner for her excellent editorial support.

[®] Carnegie Mellon is registered in the U.S. Patent and Trademark Office by Carnegie Mellon University.

Abstract

Software development projects rarely are started or proceed without risks involving the technologies used. Typically, many facets of a project such as system functionality and tool support depend on the availability of a specific technology. This dependency poses risks: the required technology can disappear within the project's life cycle or a promised technology may not be available when it's required.

A popular software technology today, Web services standards, is a widely supported approach to implementing a service-oriented architecture. Because Web services standards promise system interoperability and flexibility to large projects, commercial and government organizations are including it as the cornerstone of future computer-based systems. In fact, many systems currently being architected and designed assume the availability of products built upon a stable and effective set of Web services standards. This assumption presents project stakeholders with a large technology availability risk.

This technical note discusses some of the challenges of using Web services standards and presents the results generated by an assessment tool used to track the appropriateness of using this technology. The appendix includes an example built using the authors' opinions about the current level of appropriateness of using Web services standards in a typical, large software-intensive project.

1 Introduction

"All our lauded technological progress—our very civilization—is like the axe in the hand of the pathological criminal."

—Attributed to Albert Einstein

Addressing and managing evolving technology in software development is a challenge and can even seem to be an impossible job when nothing stays the same over time. In this report, the evolution of technology is viewed from two perspectives. First, software projects change over time due to modified requirements, fluctuating constraints, and altered designs due to implementation decisions. Second, technology selected for the project will change, usually for reasons beyond the control of the project. For these reasons, software architects, engineers, and project managers struggle with the need to use an evolving technology while trying to deliver a project on schedule and within budget.

An assessment tool can be used to better understand the implications of using an evolving technology within the bounds of a project that is itself likely to change. This report presents the results generated by an assessment tool the authors created for tracking certain aspects of an evolving technology, Web services standards.

1.1 Making Decisions

Each of us needs to make decisions when confronted with choices. For instance, deciding how to get from point A to point B could be daunting if one were to consider all of the available modes of transportation. Your long list of options could include the automobile, bus, airplane, train, bicycle, walking, and any combination thereof. In addition, the decision requires wrestling with conflicting factors such as how fast do I need to get to point B, how much will it cost, what is my desired level of comfort, does my choice impact the environment, are there benefits to personal health, is the mode of transportation enjoyable and convenient, just to name a few.

The decision-making process has been investigated from many different angles. This is evident in the number of textbooks that discuss decision-making. In the acquisition of software products today, tools, methods, and even regulations exist in an attempt to improve the overall quality of software-intensive systems by addressing various areas in the management of new technology. Deciding when it is beneficial to use new software technology is a common issue throughout the software development and acquisition communities. The following sections discuss why it is important to have processes and tools in place to help manage information used to make technology decisions.

1.1.1 The Challenge of Using COTS Components

The use of commercial off-the-shelf (COTS) software components is prevalent throughout software development organizations today. In theory, the reason for selecting a COTS software component is to use a proven solution, thus reducing the overall schedule and effort for a project, while improving quality. In practice, this is often a difficult goal to achieve. As discussed in this report, selecting a COTS component is only the first step in the life cycle of both the project and the technology. Many methods and approaches are available to help projects evaluate and select components that will likely integrate successfully into the desired project [SEI 05, Section "Procuring Interoperable Components"]. Many of these methods and approaches also discuss that the selection criteria for COTS components should go beyond cost considerations. For example, evaluating products based on system attributes such as performance, security, reliability and maintainability improves the chances for a successful project.

In addition to these selection issues, dealing with evolving technology presents an additional challenge:

Building solutions based on incorporating pre-existing components is different from typical custom development in that the components are not designed to meet a project-defined specification. COTS components are built to satisfy the needs of a market segment. Therefore, an understanding of the components' functionality and how it is likely to change over time must be used to modify the requirements and end-user business processes as appropriate, and to drive the resulting architecture [Albert 02].

This quote points out one of the many challenges facing practitioners. Many approaches stress that monitoring the appropriateness of the selected COTS component throughout a product's life cycle is necessary. Thus, the need for a tool to help monitor evolving technology is evident.

1.1.2 Technology Readiness Assessments

Current Department of Defense (DoD) acquisition directives and instructions require that Technology Readiness Assessments (TRAs) be conducted several times during the life cycle of a product acquisition [DoD 03a, DoD 03b]. A TRA examines program concepts, technology requirements, and demonstrated technology capabilities in order to determine technological maturity. Maturity is described through a "recommended technology readiness level (TRL) (or some equivalent assessment) for each critical technology."

The use of TRLs enables consistent, uniform, [sic] discussions of technical maturity across different types of technologies. Decision authorities will consider the recommended TRLs (or some equivalent assessment methodology, e.g., Willoughby templates) when assessing program risk. TRLs are a measure of technical maturity. They do not discuss the

probability of occurrence (i.e., the likelihood of attaining required maturity) or the impact of not achieving technology maturity [DAU 04, Section 10.5.2].

The DoD's *Technology Readiness Assessment (TRA) Deskbook* describes in detail how to identify the critical technology for a project and evaluate the TRL for that technology [DoD 05]. By design, TRLs assign a single value to make it easier to select a single technology from competing technologies by creating a single common denominator. Usually when selecting a software technology, a difficult and sometimes frustrating task is managing the various competing attributes of the whole decision. Smith discusses several "orthogonally related" attributes that should be considered when making a decision to utilize a software technology [Smith 04]. These consist of the following four attributes:

- 1. Requirements: How well the functional and non-functional requirements can be allocated to a solution
- 2. Environmental Fidelity: How closely the selected technology has been operated in the solution's environment
- 3. Technology Criticality: How dependent the solution is on the selected technology
- 4. Product Aging: The lifespan of the technology related to the lifespan of the solution and also the maturity of the technology in the marketplace

This report discusses how using a subset of these attributes helps facilitate the decision-making process.

1.2 The Challenge of Assessing Evolving Technology

These examples of software reuse and TRA processes show how important it is to gather information about a technology and then reason and even experiment to determine its appropriateness for use. In addition, these processes require that information be gathered several times during the life cycle of a product to reevaluate the technology's appropriateness. Even for complex technology, understanding the functional features is fairly straightforward. However, to make effective choices, decision makers usually need a way to make the unique characteristics of the technology more understandable. Using a tool to summarize and track these unique characteristics is one way to make this information more understandable and usable when assessing new technologies. These tools are usually built using text documents, spreadsheets, or databases to make the information available and understandable to the decision-makers.

In Section 2, we will explore some of the decisions that need to be made in large software projects using Web services standards. Section 3 describes the assessment tool used to generate the results presented in the appendix of this report. This tool was designed to track the appropriateness of Web services standards in the areas of requirements and maturity for use in large software systems. The results contained in the appendix are intended to be a starting point for project managers and software architects to help them make difficult

project-level architectural design decisions early in a project. Note, however, that they reflect a snapshot of an evolving technology as of November 2005. In an attempt to satisfy stakeholders' changing needs and expectations, assessment tools should be modified and updated frequently to meet the evolving needs of the project and the current state of the technology.

2 The Challenge of Assessing Web Services Standards

To assess the appropriateness of a technology for use within a project requires an understanding of the project's goals and how the selected technology will evolve. This section provides some insights into the challenge of assessing technology in general and Web services standards in particular. In order to better reason about the appropriateness of using Web services standards on a large project and to better relate the methods presented in this technical note to a real-world situation, we first introduce a notional project. We then look at *quality attributes*, which is one of the many software architectural concepts critical for creating successful products. Last, we discuss how Web services standards are created and evolve.

2.1 Language Translation Services Project

The notional project, Language Translation Services (LTS), is a commercial software system envisioned to provide thousands of services worldwide, with thousands of users who have different levels of system needs. Users of this system want to translate one or more words between languages. Each service in the system is designed to accept from 1 to 1000 words in one language and to return a message that contains words translated into another language. To encourage worldwide development and use, each service is limited to a single originating language and a single target language. The data communication network is sufficient to enable the required communications, but because of the distance messages travel and high network traffic, response time can be slow. Because of the need to interoperate with other systems and to encourage software reuse, the stakeholders have decided to use Web services standards as a key design principle.

For example, the following scenario can be used to reason about a few of the decisions that need to be made for LTS.

The first part of a translation transaction requires a transfer of 1000 English words from an LTS application to an LTS service in less than 5 seconds with a .0001% or less likelihood of unauthorized viewing of the data within 50 years.

To help a system designer make tradeoff decisions, determining answers to the following questions from an architectural and implementation perspective represents large steps toward formulating a system design:

 How can performance between an LTS application and service across the worldwide network be predicted and monitored?

- How can the information be encrypted so that both the LTS application and service can decode it?
- What does the LTS application need to do to guarantee that the exact same information arrives at desired LTS service?
- Can an LTS service trust that the received message is actually from an authorized LTS application?

Before we can create an assessment tool, we need to better understand the quality attributes of a system such as LTS. Also, it would be useful to understand the mechanisms of Web services standards development. The following sections discuss quality attributes and Web services standards development and how they relate to the LTS example.

2.2 Quality Attributes

Software architecture is an important phase of the software development life cycle. There are many processes and technical concepts that are employed to create and document a software architecture. One architectural concept called *quality attributes* is used in this report to help with our assessment activity. In the software architecture field, quality attributes are sometimes referred to as "non-functional requirements" or the "-ilities."

For example, we can extract some quality attributes that are relevant to this system from what we know about the notional LTS project:

- Reliability: the ability to make sure the message actually gets to the correct system
- Performance: the requirement to move 1000 bytes of data in less than 5 seconds
- Security: make it highly unlikely that an unauthorized entity can gain access to the data.

Why is it important to consider a system's quality attributes? Early decisions in the architectural process have an impact on the subsequent quality attributes of the system. As pointed out in *Software Architecture in Practice*, defining quality attributes is a crucial activity:

- 1. Architecture is critical to the realization of many qualities of interest in a system, and these qualities should be designed in and can be evaluated at the architectural level.
- 2. Architecture, by itself, is unable to achieve qualities. It provides the foundation for achieving quality, but this foundation will be to no avail if attention is not paid to the details [Bass 03, p. 72].

Another characteristic of quality attributes is that they normally compete within a system for dominance. Increasing the prominence of one quality attribute usually decreases the prominence of one or more other quality attributes. These tradeoffs, inherent in every design, are decisions that an architect should share with all stakeholders throughout the life cycle of the project.

Although there are many factors to a project's success, understanding the desired system quality attributes is one of the key influences. In the beginning of the software life cycle, architecture is usually considered at a high level of abstraction, but as Bass and colleagues point out, high-level decisions need to be backed up by detailed work [Bass 03]. Focusing on quality attributes helps the stakeholders become more aware of the ways in which tradeoffs affect how the overall system works.

2.3 Web Services Standards

Web services technology is being used industry-wide to implement interoperable service-oriented architectures (SOAs). This technology comprises a set of evolving standards that tries to address many of the goals and challenges of the overall SOA approach. Some organizations that want to lower the cost of development and maintenance for software systems, while at the same time becoming more flexible in terms of capabilities, consider Web services standards as a possible solution. A big reason that SOAs are storming the software solution space is their key quality attributes such as interoperability, extensibility, and modifiability [O'Brien 05].

When trying to predict the future state of Web services standards, it helps to understand the current process of defining and implementing them for use in solutions. While this process can be fragile, clumsy, and frustrating, it is the method used worldwide to develop an SOA that interoperates across multiple private and commercial implementations.

A key goal of Web services standards is to support interoperable machine-to-machine interaction over a network. This is accomplished today by using Extensible Markup Language (XML)-based messaging such as Web Services Description Language (WSDL), the Simple Object Access Protocol (SOAP), and the Universal Description, Discovery, and Integration (UDDI). These, as well as additional standards, are managed by a consortium of industry members. The process for developing standards is open and evolutionary and as a result, the creation of new standards and subsequent revisions is unpredictable in both content and timing.

Many organizations are working to establish open standards, but there are three that are key to the evolution of Web services standards. Each of these three organizations encourages individual and organizational membership and support from both the commercial and academic communities. Members meet frequently to evolve standards through defined processes for creation of drafts, public review, and approval of final standards.

One of the key organizations that develops Web standards is the World Wide Web Consortium (W3C¹) founded by Tim Berners-Lee, the inventor of the World Wide Web. Starting with the Hypertext Transfer Protocol (HTTP) and working its way up to XML, SOAP, and other standards, this organization is made up of many committees whose goals are

For more information about W3C, visit http://www.w3.org.

to create and maintain Web standards that the W3C calls "recommendations." Another group, the Organization for the Advancement of Structured Information Standards (OASIS²), is dedicated to creating the infrastructure and implementation of Web services standards. The other organization called the Web Services Interoperability Organization (WS-I³) delivers practical guidance, best practices, and resources for developing interoperable Web services solutions. All three of these organizations rely on the international software engineering community including commercial companies, universities, and individuals to commit the knowledge and finances that allow them to operate.

At the time of this writing, Web services standards have a significant number of prominent proponents including Microsoft, IBM, Oracle, and BEA, in addition to the open source community that demonstrates its support through many initiatives, such as an Apache Software Foundation Web services project called Axis. In addition, many smaller companies, Sonic, Actional, and Systinet to name a few, have built their business plans by relying on Web services standards. There are hundreds of other companies large and small that create software components built on interoperable standards and recommendations. Many of these companies develop products that enable applications to be built by integrating components built on Web services standards at the application level. The goal of using Web services standards is to build a system by installing products released by different companies and to allow the individual components to work together seamlessly.

The amount of activity in the Web services standards arena and wide industry support lead one to believe that this technology will be significant to the software development industry for many years. One of the current problems is that the implementation of Web services standards is slow and, at times, marked by fits and starts, causing many adoption headaches. Understanding the capabilities of each standard and tracking their evolution is an activity that project stakeholders need to do effectively during the life cycle of a project. The next section describes a tool we created that helps organize and present information by relating the quality attributes of a system with many of the more popular Web services standards.

For more information about OASIS, visit http://www.oasis-open.org.

For more information about WS-I, visit http://www.ws-i.org.

⁴ For more information about the Axis project, visit http://ws.apache.org/axis.

3 Assessing the Appropriateness of Web Services Standards

As discussed previously, it is important to make decisions about the appropriateness of a technology based on the quality attributes of the system. In the notional LTS project, the applications and services are based on Web services standards, thus creating a potential technology risk to the project. This risk is present due to evolution in the project's implementation and changes in Web services standards. The following sections describe the outcome of the evaluation of this risk by showing how we assessed the appropriateness of Web services standards with regard to impact and maturity of the Web services technologies in a typical application.

3.1 Assessing Appropriateness

Below are a few situations that might be relevant to a solution using Web services standards, such as the LTS project. Remember that these can occur throughout the product life cycle in different phases and at unpredictable times.

- Changing expectations overlap with changing Web services standards.
 - Example: Bandwidth increases in the underlying network lead users to expect improved performance from the system, but at the same time, standards have increased the number of bytes needed to send the same information.
- A design decision to use a specific standard affects one or more quality attributes.
 - Example: The application used a specific standard to transfer messages reliably between two points. The standard is changed to include an extra set of messages to guarantee accuracy, thus affecting overall performance.
- A specific standard changes for reasons beyond the project's scope, yet it affects system functionality.
 - Example: A compression standard was added to allow for efficient transmission over millions of miles for space exploration. This may have a positive or negative effect on projects that are deployed on earth.

In addition to assessing and tracking the appropriateness by using functional requirements or environmental constraints, evaluating each standard against a selected group of quality attributes and tracking the results will help us make appropriateness decisions throughout the LTS life cycle. For the LTS project, we assessed and tracked two dimensions of appropriateness of Web services standards: the impact they have on the system quality attributes and the maturity of the standards as related to the system quality attributes.

3.2 Selecting Relationships to Assess

The focus of the report by O'Brien and colleagues is to indicate the impact that an SOA approach has on a group of quality attributes of an application [O'Brien 05]. An application using Web services standards usually consists of a combination of individual standards, but the use of each standard has the potential to impact each quality attribute of an application or service in different ways. By understanding how each standard affects the quality attributes of the system, the architects, engineers, and project managers can make better assessments about how to use software based on the Web services standards. Another dimension of this assessment is the maturity of a technology. As discussed earlier, the process to create and evolve each Web services standard is volatile and currently many of the standards are changing.

However, over time the impact and maturity dimensions will change. This occurs because the Web services standards, the project requirements, the architecture, and the implementation evolve. As each standard evolves, changes will be made that may affect the impact that it has on each of the quality attributes. For example, a security standard that originally seemed to have no impact on system modifiability could be changed to restrict future architectural changes. Or the lack of features within a standard can make maintaining systems that rely on it more difficult.

When looking at a standard's maturity, it may seem obvious that the maturity increases as time goes on or that monitoring the maturity of the standard may seem unnecessary after it has been thought to reach a mature state. In reality, both of these assumptions are incorrect. A poorly conceived standard implemented in many products may have more and more features added to it, causing it to become unstable. Additionally, as the Web services standards improve overall, user expectations increase, thus requiring expanded support to specific standards.

3.3 Developing an Assessment Tool

The impact a Web services standard has on a quality attribute and the maturity of a standard are significant contributors to the project's risk and subsequent mitigation strategies. While there are other factors to consider such as the availability and quality of Web services, COTS products, and the training and skill level of available staff, we have selected impact and maturity relationships to track as input to help architects, engineers, and project managers make appropriateness assessments. As pointed out in this technical note, there are many reasons for the assessments to be conducted multiple times during a product's life cycle.

The proposed assessment tool is not complicated, although the number of standards and quality attributes to track is large. For each standard, 13 different quality attributes are evaluated in two different ways. First, the impact that the standard has in relation to each one of these quality attributes is rated. The second relationship is an evaluation of maturity, or

the likelihood that the standard will change in relation to the specific attribute. This determination can be made in various ways ranging from analytical to empirical.

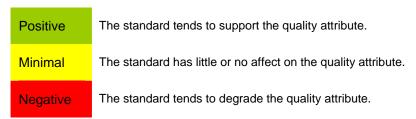
We started to track these relationships in a spreadsheet. Making the results understandable and meaningful became difficult as the number of Web services standards increased. The spreadsheet was organized into six pages, with the standards grouped according to their main function. The spreadsheet format was effective, but it was hard to keep track of why each value was selected. We decided to expand the tool into a database containing six different tables. In this way, the information could be grouped and presented in various reports allowing the data to be visualized and analyzed differently.

Between August and November 2005, we evaluated Web services standards at a high level and entered information into the database assessment tool. The results are contained in the appendix of this report. There are several notes of caution to users of these results.

- The presented results were prepared to test the usefulness and validity of the assessment process and the tool.
- The assessment value selected for each cell was determined by our studying the associated Web services standard and making a "best guess" as to its impact and maturity.
- Additionally, the results include only our opinions as of November 2005; further analysis
 and validation through experimentation would be required to develop more accurate
 assessment.

3.4 Selecting a Rating Criteria

Since the intent of this exercise was to evaluate the tool, a simple three-level rating scale was selected. For the impact dimension the three levels are defined as follows:



For example, a standard that implements security related features would be assessed as "positive" in relation to the security quality attribute.

The values of "Mature," "Adolescent," and "Immature" were selected to more closely relate to the maturity dimension. In addition, since the results were being viewed in a table, using different values allows the reader to more clearly determine which dimension an individual cell represents.

Mature	The standard is widely used and is not expected to change as related to the quality attribute.
Adolescent	The standard is in low use or may change as related to the quality attribute.
Immature	The standard is not in significant use or is likely to change as related to the quality attribute.

Keep in mind that a standard may be maturing in relation to certain quality attributes but because significant change is expected to happen it may be less mature in other quality attribute areas.

As a summary for each standard, we calculated an overall impact and maturity rating based on the results for all of the quality attributes. For each rating, we assigned a numeric value. The average of these values, which falls between -1 and 1, is shown at the bottom of each column. A negative average indicates an overall negative impact or low maturity; an average above zero indicates a positive impact or more mature overall assessment. Because this scale is very coarse and the relationships between the dimension and quality attribute are complex, this overall rating should be used only as a rough indication of overall impact or maturity.

3.5 Assessment Example

The example below displays ratings for one of the 13 quality attributes, Security, for the Web services standard, Security Assertion Markup Language (SAML), assessed in terms of impact and maturity in relation to our notional LTS project. This particular standard is maintained by an OASIS committee. Since this standard is directly related to the security quality attribute, the impact value we assigned is "Positive." The development of Version 1.0 of this standard began in 2001 and was adopted in November 2002. However, after three years of wide adoption, OASIS and others are actively working on Version 2.0 of this standard. For this reason, we assigned a maturity rating of "Adolescent."

	Impact	Maturity
Security	Positive	Adolescent
	Standardize passing of security information	Ver. 1.0 is mature but ver. 2.0 released

For each quality attribute, we applied similar reasoning to assign one of the three ratings for the impact and maturity assessments. As shown in the appendix, after rating all of the relationships for this standard, an overall rating of 0.46 was calculated for impact and 0.00 for maturity. Since the overall impact rating is a positive number, it indicates that SAML has a positive impact to the overall capabilities of LTS. Because there was recent release of SAML, each maturity relationship was rated at "Adolescent" (sometimes for different reasons) to achieve the overall rating of 0.00. This value indicates that the LTS stakeholders

should monitor the project's security design decisions along with the new SAML changes as the new release becomes part of the LTS project.

The appendix contains example results for 38 Web services standards, assessed for impact and maturity, based on 13 quality attributes.

4 Conclusion

This technical note demonstrates one way of systematically assessing the appropriateness of using a popular but evolving technology, Web services standards. By focusing on the project's quality attributes, another dimension to technology assessments can be added to help software architects, engineers, and project managers make complex decisions. We chose the popular Web services standards technology as an example in the hope that the results of our examination will be useful to active projects.

Use this assessment tool and the associated process as a beginning and tailor it to meet the needs of applications and services that use Web services standards. The goal is to make informed decisions and track those decisions on a regular basis. Remember the 'axe' mentioned by Einstein; technology assumptions change frequently so the decisions based on these assumptions need to be reviewed regularly.

Appendix A Appropriateness Assessment Results

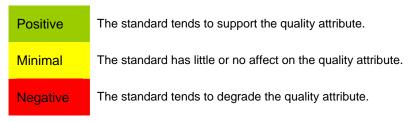
The information presented in this appendix was prepared by the authors in November 2005 and is presented as a baseline analysis of Web services standards. The reference project was a typical project using Web services standards such as the LTS project described in the report. The modification and expansion of the appropriateness assessment results presented in this appendix is required for effective use in your project. The assessment tool you use should be tailored to the specific needs of a project by

- selecting which quality attributes to track based on your project's requirements
- selecting which standards are tracked to meet project requirements
- tracking selected commercial Web services products to determine the appropriateness of the solution

One last caution is that this technical note does not address how you should make decisions such as gathering the information for each comparison or how to make system level decisions based on this tool. There are many ways to do this, ranging from plain old guessing, informal opinion gathering and synthesis, or a more structured approach like Wideband Delphi. The method you choose will vary, depending on your project's needs.

How to Read the Results

The results are presented alphabetically according to the standard's name. At the top of each page a line of text indicates the managing organization and the version and date of the standard's documentation that was used for the analysis. Each page contains two data columns. The first column represents the impact that the standard has relative to each individual quality attribute. A simple three-level scale was selected to indicate a positive, minimal, or negative impact in this relationship.



The second column represents the maturity of the standard in relation to each quality attribute.

Mature	The standard is widely used and is not expected to change as related to the quality attribute.
Adolescent	The standard is in low use or may change as related to the quality attribute.
Immature	The standard is not in significant use or is likely to change as related to the quality attribute.

Each page in this appendix contains the assessment results for a single standard with regard to impact and maturity as they relate to each of the 13 quality attributes. Below each rating is a brief comment that indicates the reason for the rating.

To get an idea of the overall impact or maturity for each standard, a number between -1 and 1 is shown at the bottom of each column. For each individual result we assigned a numeric value of 1, 0, or -1 and then averaged these values for the whole column. For the impact column, the average is a rough indication of how the standard may negatively or positively impact the system. For the maturity column, the average is a rough indication of how mature the standard is in relation to the system's quality attributes. Remember that the results presented here were not derived from detailed analysis or an actual project's architecture.

WS Standard: Asynchronous Service Access Protocol (ASAP)

Organization: OASIS, Ver: v1.0 5/05

Impact Maturity **Adaptability** Positive **Adolescent** More flexibility in integrating services Although new, probably won't change much and processes for this QA **Auditability** Negative mmature Difficult to audit asynchronous services Anticipate change for this QA **Minimal** Adolescent Availability Not key QA Although new, probably won't change much for this QA **Extensibility Positive** Adolescent Allows for integration of processes Although new, probably won't change much for this QA Interoperability Positive mmature Allows for better interoperability with Anticipate change for this QA longer running services **Modifiability** Adolescent Minimal Not key QA Although new, probably won't change much for this QA Operability and Minimal Adolescent **Deployability** Allows for asynchronous service to be Although new, probably won't change much integrated for this QA **Performance Negative** mmature Asynchronous services can negatively Anticipate change for this QA affect performance Adolescent Reliability **Minimal** Does not affect the reliability of the Although new, probably won't change much for this QA service Scalability Negative mmature Asynchronous service is hard to predict Anticipate change for this QA as system grows Security Minimal Adolescent Not key QA Although new, probably won't change much for this QA **Testability** Negative mmature Difficulty in testing asynchronous Anticipate change for this QA services **Usability Minimal** mmature

Impact Average: -0.08 Maturity Average: -0.46

Anticipate change for this QA

Allows for monitors and controls that

may provide better interactions with

users

WS Standard: Security Assertion Markup Language (SAML)

Organization: OASIS, Ver: v2.0 3/05

	Impact	Maturity
Adaptability	Positive	Adolescent
	Not bound to specific transportation or communication protocols	Ver. 1.0 is mature but ver. 2.0 released recently (2005)
Auditability	Minimal	Adolescent
	Not key QA	Although not key QA, may change over time
Availability	Minimal	Adolescent
	Not key QA	Although not key QA, may change over time
Extensibility	Positive	Adolescent
	Allows for additional fields within messages	Ver. 1.0 is mature but ver. 2.0 released recently (2005)
Interoperability	Positive	Adolescent
	Standardizes passing of security information	Ver. 1.0 is mature but ver. 2.0 released recently (2005)
Modifiability	Positive	Adolescent
	Underlying system can change without need for changing security	Ver. 1.0 is mature but ver. 2.0 released recently (2005)
Operability and	Minimal	Adolescent
Deployability		
	Not key QA	Although not key QA, may change over time
Performance	Negative	Adolescent
	More messages and information need to be passed	Ver. 1.0 is mature but ver. 2.0 released recently (2005)
Reliability	Minimal	Adolescent
	Not key QA	Although not key QA, may change over time
Scalability	Positive	Adolescent
	Can handle increased usage	Ver. 1.0 is mature but ver. 2.0 released recently (2005)
Security	Positive	Adolescent
	Standardize passing of security information	Ver. 1.0 is mature but ver. 2.0 released recently (2005)
Testability	Minimal	Adolescent
	Not key QA	Although not key QA, may change over time
Usability	Positive	Adolescent
	Supports authentication and authorization	Ver. 1.0 is mature but ver. 2.0 released recently (2005)

Impact Average: 0.46 Maturity Average: 0.00

WS Standard: Service Provisioning Markup Language (SPML)

Organization: OASIS, Ver: v2.0cd 9/05

	Impact	Maturity
Adaptability	Minimal	Immature
	Not key QA	2nd version of SPML just released
Auditability	Negative	Immature
	More items will need auditing	2nd version of SPML just released
Availability	Minimal	Adolescent
	Not key QA	Although released recently, unlikely to change relative to this QA
Extensibility	Positive	Immature
	Can handle multiple types of resources	2nd version of SPML just released
Interoperability	Positive	Immature
	Provides a standard for handling provisioning across systems	2nd version of SPML just released
Modifiability	<u>Minimal</u>	Adolescent
	Not key QA	Although released recently, unlikely to change relative to this QA
Operability and Deployability	Positive	Adolescent
	Provides standards for users and system access entitlements which can be automated	Although released recently, unlikely to change relative to this QA
Performance	Negative	Immature
	More messages to interpret	2nd version of SPML just released
Reliability	Minimal	Adolescent
	Not key QA	Although released recently, unlikely to change relative to this QA
Scalability	Positive	Immature
	Allows for extending the number of users or systems that need access entitlements	2nd version of SPML just released
Security	Positive	Immature
	Provides standards for handling user and system access entitlements	2nd version of SPML just released
Testability	Negative	Immature
	Difficult in testing the different resource handling scenarios	2nd version of SPML just released
Usability	Minimal	Adolescent
	Not key QA	Although released recently, unlikely to change relative to this QA

Impact Average: 0.15 Maturity Average: -0.62

WS Standard: Simple Object Access Protocol (SOAP)

Organization: W3C, Ver: v1.2d 6/03

	Impact	Maturity
Adaptability	Positive	Adolescent
	Fields can be changed. Passes th firewalls	rough Anticipate growth related to this QA
Auditability	Minimal	Mature
	Not key QA	Many products designed using SOAP
Availability	Minimal	Mature
	Not key QA	Many products designed using SOAP
Extensibility	Positive	Adolescent
	Easily add fields and formatting	Anticipate growth related to this QA
Interoperability	Positive	Mature
	Designed for Interoperability	Many products designed using SOAP
Modifiability	Minimal	Mature
	Not key QA	Many products designed using SOAP
Operability and Deployability	Minimal	Mature
	Not key QA	Many products designed using SOAP
Performance	Negative	Mature
	Size of message	Many products designed using SOAP
Reliability	Minimal	Mature
	Not key QA	Many products designed using SOAP
Scalability	Positive	Adolescent
	Messages can grow as big as need	ded Anticipate growth related to this QA
Security	Minimal	Mature
	Not key QA	Many products designed using SOAP
Testability	Minimal	Mature
	Not key QA	Many products designed using SOAP
Usability	Negative	Mature
	Size of message and need for tools	Many products designed using SOAP
-		

Impact Average: 0.15 Maturity Average: 0.77

WS Standard: SOAP MTOM and/or XOP and/or SWA

Organization: W3C, Ver: v0.0r 1/05

	Impact	Maturity
Adaptability	Positive	Immature
	Fields can be changed in the message	SWA dying, waiting for MTOM/XOP
Auditability	Negative	Immature
	May be difficult to audit optimized messages	SWA dying, waiting for MTOM/XOP
Availability	Minimal	Adolescent
	Not key QA	Either method won't be affected much
Extensibility	Positive	Immature
	Easily add fields and formatting to messages	SWA dying, waiting for MTOM/XOP
Interoperability	Positive	Immature
	Defines rules that must be followed	SWA dying, waiting for MTOM/XOP
Modifiability	Positive	Adolescent
	Underlying applications can change	Either method won't be affected much
Operability and	Negative	Immature
Deployability	Not all actors in an SOA may be using MTOM	SWA dying, waiting for MTOM/XOP
Performance	Positive	Immature
	Designed to optimize transmission of messages	SWA dying, waiting for MTOM/XOP
Reliability	Minimal	Adolescent
	Not key QA	Either method won't be affected much
Scalability	Positive	Immature
	Messages can grow but reduces size of messages	SWA dying, waiting for MTOM/XOP
Security	Negative	Immature
	Optimizations can be changed by intermediaries	SWA dying, waiting for MTOM/XOP
Testability	Negative	Immature
	Difficulty in testing optimizations	SWA dying, waiting for MTOM/XOP
Usability	Minimal	Adolescent
	Not key QA	Either method won't be affected much

Impact Average: 0.15 Maturity Average: -0.69

WS Standard: Universal Description Discovery & Integration (UDDI)

Organization: OASIS, Ver: v3.0 3/05

	Impact	Maturity
Adaptability	Positive	Mature
	Provides structures for defining multiple taxonomies	Third version, should be stable for this QA
Auditability	Minimal	Adolescent
	Not key QA	Anticipate improvements for this QA.
Availability	<u>Minimal</u>	Mature
	Does not guarantee the services will be available - just lists who is providing them	Third version, should be stable for this QA
Extensibility	Positive	Mature
	UDDI registries can be extended	Third version, should be stable for this QA
Interoperability	Positive	Mature
	Part of the foundational infrastructure for interoperable services	Third version, should be stable for this QA
Modifiability	<u>Minimal</u>	Mature
	Not key QA	Third version, should be stable for this QA
Operability and Deployability	Positive	Mature
	Allows various mechanisms for the publishers to add entries and users to access them	Third version, should be stable for this QA
Performance	Negative	Adolescent
	Not clear what the performance of the UDDI registry is	Anticipate improvements for this QA.
Reliability	Minimal	Mature
	Does not guarantee reliability of the underlying services	Third version, should be stable for this QA
Scalability	Positive	Adolescent
	Can handle increasing numbers of services	Anticipate improvements for this QA.
Security	<u>Minimal</u>	Adolescent
	Needs additional security mechanisms to be in place	Anticipate improvements for this QA.
Testability	<u>Minimal</u>	Adolescent
	Not key QA	Anticipate improvements for this QA.
Usability	Positive	Mature
	Allows searching for a particular service	Third version, should be stable for this QA

Impact Average: 0.38 Maturity Average: 0.62

WS Standard: Web Service Transfer (WS-Transfer)

Organization: Other, Ver: v0.0 9/04

Impact Maturity

Adaptability Positive Adolescent

> Allows for change in a resource's Although not widely implemented, standard is

representation simple

Auditability Negative mmature

> May be difficult to track use of resources Important QA so it might change

for audit purposes

Availability Adolescent

Positively or negatively affect the Although not widely implemented, standard is resources available to a service simple

Adolescent **Extensibility** Positive

> Allows for change in a resource's Although not widely implemented, standard is

representation simple

Interoperability **Minimal** Adolescent

Not key QA Although not widely implemented, standard is

Modifiability Positive Adolescent

Allows for dynamic change of resource Although not widely implemented, standard is

specifications simple

Operability and **Minimal** Adolescent

Deployability

Allows for deletion and reestablishment Although not widely implemented, standard is of resources simple

Performance Negative mmature

Removal of resources can impact Performance is important so standard might

performance change

Reliability **Minimal** Adolescent

Not key QA Although not widely implemented, standard is simple

Scalability Minimal Adolescent

Not key QA Although not widely implemented, standard is simple

Security **Negative** mmature

Allows for manipulation of a server's Security may force changes relative to this QA

> resources and change in resource specification

Testability Negative mmature

> May be difficult to test the various Testing is difficult across services resource scenarios

Usability

Positive Adolescent Allows for changes in resources which Although not widely implemented, protocol is

can have a positive impact on user simple

Impact Average: 0.00 *Maturity Average:* -0.31

WS Standard: Web Services Atomic Transaction (WS-AtomicTransaction)

Organization: W3C, Ver: v1.0 8/05

Usability

Impact Maturity Adaptability **Positive Adolescent** Allows more complex transactions to be Recently submitted but all the major players support this standard built **Auditability** Negative mmature Difficult to audit potential failures Key QA so anticipate changes **Minimal** Adolescent Availability Not key QA Recently submitted but all the major players support this standard **Extensibility** Positive mmature Allows more complex transactions to be Key QA so anticipate changes Interoperability Positive mmature Existing transaction systems can Key QA so anticipate changes interoperate across HW and SW vendors Modifiability Adolescent Minimal Not key QA Recently submitted but all the major players support this standard Operability and Minimal Adolescent Deployability Provide consistent failure and recovery Recently submitted but all the major players support this standard semantics Performance Negative Adolescent Does not guarantee performance of Recently submitted but all the major players entire transaction support this standard Reliability Positive With other standards, guarantees Key QA so anticipate changes consistent transactions Scalability **Minimal** Adolescent Not key QA Recently submitted but all the major players support this standard Security Minimal Adolescent Not key QA Recently submitted but all the major players support this standard **Testability** Negative mmature Difficulty to test various transaction Key QA so anticipate changes failure scenarios

Impact Average: 0.15 Maturity Average: -0.38

With other standards, guarantees

consistent transactions

Positive

Adolescent

support this standard

Recently submitted but all the major players

WS Standard: Web Services Business Activity Framework (WS-BusinessActivity)

Organization: Other, Ver: v1.0 8/05

Impact Maturity **Adaptability Positive** mmature Can handle changing business process 3rd version in a couple of years. Not interoperation submitted yet. **Auditability Negative** nmature More items need to be setup for auditing 3rd version in a couple of years. Not submitted yet. **Availability** Minimal Adolescent Not key QA Although not submitted, has strong backing and this QA probably won't change Positive **Extensibility** mmature 3rd version in a couple of years. Not Can handle multiple business processes submitted yet. Interoperability **Positive** nmature Provides standards for business process 3rd version in a couple of years. Not to interoperate across different vendor submitted yet. implementations Modifiability **Minimal** Adolescent Although not submitted, has strong backing Not key QA and this QA probably won't change Operability and Minimal Adolescent **Deployability** Not key QA Although not submitted, has strong backing and this QA probably won't change Performance **Negative** More coordination of the business 3rd version in a couple of years. Not processes, storing of state and metadata submitted yet. Reliability Positive Adolescent Defines coordination type for handling Although not submitted, has strong backing exceptions and this QA probably won't change Scalability Adolescent Minimal Not key QA Although not submitted, has strong backing and this QA probably won't change Security Negative mmature Trust boundaries have to be established 3rd version in a couple of years. Not submitted yet. **Testability Minimal** Adolescent Not key QA Although not submitted, has strong backing and this QA probably won't change **Usability** Positive mmature Provides mechanisms for handling 3rd version in a couple of years. Not exceptions in business processes submitted yet.

Impact Average: 0.15 Maturity Average: -0.54

WS Standard: Web Services Business Process Execution Language (WSBPEL)

Organization: OASIS, Ver: v2.0cd 8/05

	Impact	Maturity
Adaptability	Positive	Adolescent
	Describes various mechanisms for defining business processes	Has wide support but is actively being changed
Auditability	Negative	Immature
	More items will need to be audited with little support provided	This QA is important and needs work
Availability	Minimal	Adolescent
	Not key QA	Has wide support but is actively being changed
Extensibility	Positive	Adolescent
	New processes can be added using the standard	Has wide support but is actively being changed
Interoperability	Positive	Adolescent
	Allows for coordination and sharing of information between web services	Has wide support but is actively being changed
Modifiability	Minimal	Adolescent
	Not key QA	Has wide support but is actively being changed
Operability and Deployability	<u>Minimal</u>	Adolescent
	Not key QA	Has wide support but is actively being changed
Performance	Negative	Immature
	More messages required to support the process	This QA is important and needs work
Reliability	Minimal	Adolescent
	Does nothing to ensure the reliability of the underlying services	Has wide support but is actively being changed
Scalability	Minimal	Adolescent
	Not key QA	Has wide support but is actively being changed
Security	Negative	Immature
	Does not ensure security level of the underlying services	This QA is important and needs work
Testability	Minimal	Adolescent
	Not key QA	Has wide support but is actively being changed
Usability	Positive	Immature
	The level of automation of business processes can be increased by development of tools	This QA is important and needs work

Impact Average: 0.08 Maturity Average: -0.31

WS Standard: Web Services Choreography Description Language (WS-CDL)

Organization: W3C, Ver: v0.0wd 9/05

Impact Maturity **Adaptability** Positive **Immature** An organization can change underlying Still in draft, key QA so anticipate change implementation provided it does not change the Choreography Auditability **Negative Immature** More items need to be audited Still in draft, key QA so anticipate change **Availability Minimal** mmature Still in draft but still anticipate change Not key QA **Extensibility** Positive mmature Still in draft, key QA so anticipate change An organization can change underlying implementation of its part of the Choreography Interoperability Positive **Immature** Provides for interoperability between Still in draft, key QA so anticipate change organizations through standards **Modifiability Minimal** Immature Not key QA Still in draft but still anticipate change Operability and **Minimal** mmature **Deployability** Not key QA Still in draft but still anticipate change Performance Negative mmature More message traffic Still in draft, key QA so anticipate change Reliability **Minimal** mmature Does not guarantee reliability of Still in draft, key QA so anticipate change underlying services Scalability **Minimal** mmature Not key QA Still in draft, key QA so anticipate change Security Negative mmature More places where security can be Still in draft, key QA so anticipate change affected **Testability** Minimal mmature Not key QA Still in draft but still anticipate change Usability **Minimal** Not key QA Still in draft but still anticipate change

Impact Average: 0.00 Maturity Average: -1.00

WS Standard: Web Services Context (WS-Context)

Organization: Other, Ver: v1.0d 10/05

	Impact	Maturity
Adaptability	Positive	Immature
	Allows support for newly emerging standards such as workflow and transactions	Recent draft, key QA so anticipate change
Auditability	Negative	Immature
	Difficult in auditing which services affer a shared context	ect Recent draft, key QA so anticipate change
Availability	Minimal	Immature
	Not key QA	Recent draft but still anticipate change
Extensibility	Positive	Immature
	Allows new services and applications be added	to Recent draft, key QA so anticipate change
Interoperability	Positive	Immature
	Allows for multiple services to share a common context	Recent draft, key QA so anticipate change
Modifiability	Minimal	Immature
	Not key QA	Recent draft but still anticipate change
Operability and Deployability	Minimal	Immature
	Not key QA	Recent draft but still anticipate change
Performance	Negative	Immature
	More message traffic and requires an context resource manager	d Recent draft, key QA so anticipate change
Reliability	Minimal	Immature
	Not key QA	Recent draft but still anticipate change
Scalability	Minimal	Immature
	Not key QA	Recent draft but still anticipate change
Security	Minimal	Immature
	Not key QA	Recent draft but still anticipate change
Testability	Minimal	Immature
	Not key QA	Recent draft but still anticipate change
Usability	Positive	Immature
	Allows for sharing of a context across multiple services	Recent draft, key QA so anticipate change

Impact Average: 0.15 Maturity Average: -1.00

WS Standard: Web Services Coordination (WS-Coordination)

Organization: Other, Ver: v1.0 8/05

	Impact	Maturity
Adaptability	Minimal	Adolescent
. ,	Not key QA	Although new, this QA probably won't change
Auditability	Minimal	Adolescent
•	Not key QA	Although new, this QA probably won't change
Availability	Minimal	Adolescent
	Not key QA	Although new, this QA probably won't change
Extensibility	Positive	Immature
	Allows for the publication of coordination protocols and definition of extension elements	Recently changed, products starting to use this standard
Interoperability	Positive	Immature
	Allows for specifying various coordination behaviors	Recently changed, products starting to use this standard
Modifiability	Minimal	Adolescent
	Not key QA	Although new, this QA probably won't change
Operability and Deployability	Positive	Adolescent
	Allows for control of the coordination between applications and services	Although new, this QA probably won't change
Performance	Negative	Adolescent
	More time needed to establish and work through coordination protocols	Although new, this QA probably won't change
Reliability	Positive	Immature
	Establishes a coordination protocol between	Recently changed, products starting to use this standard
Scalability	Positive	Immature
	Allows for different coordination protocols	Recently changed, products starting to use this standard
Security	Negative	Immature
	More areas where security can be affected and needs trusted coordinator	Recently changed, products starting to use this standard
Testability	Negative	Immature
	More scenarios to be tested based on the choice of different coordination protocols	Recently changed, products starting to use this standard
Usability	Positive	Immature
	Provides for different coordination protocols between applications	Recently changed, products starting to use this standard

Impact Average: 0.23 Maturity Average: -0.54

WS Standard: Web Services Coordination Framework (WS-CF)

Organization: W3C, Ver: v1.0 7/03

	Impact	Maturity
Adaptability	Minimal	Immature
	Not key QA	Part of WS-CAF but probably won't change in relationship to this QA
Auditability	Minimal	Immature
	Not key QA	Part of WS-CAF but probably won't change in relationship to this QA
Availability	Minimal	Immature
	Not key QA	Part of WS-CAF but probably won't change in relationship to this QA
Extensibility	Positive	Immature
	Allows for static and dynamic tailoring to fit any context	Part of WS-CAF which is actively being changed
Interoperability	Positive	Immature
	Defines a generic coordination service that applications and services can use	Part of WS-CAF which is actively being changed
Modifiability	Minimal	Immature
	Not key QA	Part of WS-CAF but probably won't change in relationship to this QA
Operability and Deployability	Positive	Immature
	Help to achieve coordination between applications and services	Part of WS-CAF which is actively being changed
Performance	Negative	Immature
	More message traffic	Part of WS-CAF which is actively being changed
Reliability	Positive	Immature
	Once coordination is established provides more reliable communication	Part of WS-CAF which is actively being changed
Scalability	Positive	Immature
	Allows for different coordination protocols	Part of WS-CAF which is actively being changed
Security	Negative	Immature
	More areas where security could be affected	Part of WS-CAF which is actively being changed
Testability	Minimal	Immature
	Not key QA	Part of WS-CAF which is actively being changed
Usability	Positive	Immature
	Allows for better coordination between services and applications	Part of WS-CAF which is actively being changed
7	4	4.4

Impact Average: 0.31 Maturity Average: -1.00

WS Standard: Web Services Description Language (WSDL)

Organization: W3C, Ver: v2.0d 8/05

Impact Maturity Adaptability **Positive** Mature Service description in WSDL can be One of the first standards, widely implemented adapted to meet changing needs **Auditability Minimal** Mature Not key QA One of the first standards, widely implemented **Availability Minimal** Mature One of the first standards, widely Not key QA implemented Positive Adolescent **Extensibility** Service description in WSDL can be May change related to this QA extended as the service interface changes Adolescent Interoperability Positive Allows for the definition of services May change related to this QA across multiple environments Modifiability **Minimal** Mature One of the first standards, widely Not key QA implemented Operability and Positive Mature **Deployability** A key piece of infrastructure for One of the first standards, widely operation of services implemented Performance Adolescent Messages have to packed and unpacked May change related to this QA Reliability Minimal Mature Not key QA One of the first standards, widely implemented **Minimal** Scalability Mature Not key QA One of the first standards, widely implemented Security **Minimal** Adolescent Not key QA May change related to this QA **Minimal Testability** Not key QA One of the first standards, widely implemented **Usability** Minimal Mature Not key QA One of the first standards, widely implemented

Impact Average: 0.23 Maturity Average: 0.69

WS Standard: Web Services Distributed Management (WSDM)

Organization: OASIS, Ver: v1.0 3/05

	Impact	Maturity
Adaptability	Minimal	Adolescent
	Not key QA	Released recently and anticipate change
Auditability	Positive	Immature
	Limits the way that IT resources can be managed and thus the audit trail	Key QA, anticipate change
Availability	Positive	Immature
	Provides for monitoring and enforcing a service level agreement	Key QA, anticipate change
Extensibility	Minimal	Adolescent
	Not key QA	Released recently and anticipate change
Interoperability	Positive	Immature
	Provides for management of IT resources using web services and use of WS standards	Key QA, anticipate change
Modifiability	Minimal	Adolescent
	Not key QA	Released recently and anticipate change
Operability and Deployability	Positive	Immature
	Provides for monitoring and enforcing a service level agreement	Key QA, anticipate change
Performance	Minimal	Adolescent
	Not key QA	Released recently (2005). This area could change as needed
Reliability	Positive	Adolescent
	Provides for monitoring and enforcing a service level agreement	Released recently (2005). This area could change as needed
Scalability	Positive	Immature
	Can handle a number of IT resources	Key QA, anticipate change
Security	Positive	Adolescent
	Limits the way that IT resources can be managed	Released recently (2005). This area could change as needed
Testability	Minimal	Immature
	Not key QA	Key QA, anticipate change
Usability	Minimal	Immature
	Not key QA	Key QA, anticipate change
7	0.54	0.54

Impact Average: 0.54 Maturity Average: -0.54

WS Standard: Web Services Dynamic Discovery (WS-Discovery)

Organization: Other, Ver: v0.0 4/05

	Impact		Maturity
Adaptability	Minimal		Immature
	Not key QA		Not key QA but still in draft
Auditability	Minimal		Immature
	Not key QA		Not key QA but still in draft
Availability	Positive		Immature
	Dynamically locates se does not provide inform service's availability		Key QA and still in draft
Extensibility	Positive		Immature
	Provides extensibility for sophisticated and unan scenarios		Key QA and still in draft
Interoperability	Positive		Immature
	Allows for discovery of minimum of networking		Key QA and still in draft
Modifiability	Minimal		Immature
	Not key QA		Not key QA but still in draft
Operability and Deployability	Minimal		Immature
	Not key QA		Not key QA but still in draft
Performance	Negative		Immature
	Not clear how long it ta dynamically discover so		Difficult QA and still in draft
Reliability	Minimal		Immature
	Not key QA		Not key QA but still in draft
Scalability	Positive		Immature
	Allows for scaling to a lendpoints	arge number of	Key QA and still in draft
Security	Minimal		Immature
	Not key QA: needs oth	er standards	Not key QA but still in draft
Testability	Negative		Immature
	Difficult to test dynamic situations	discovery	Difficult QA and still in draft
Usability	Minimal		Immature
	Not key QA		Not key QA but still in draft

Impact Average: 0.15 Maturity Average: -1.00

WS Standard: Web Services Enumeration (WS-Enumeration)

Organization: Other, Ver: v0.0 9/04

	Impact	Maturity
Adaptability	Minimal	Adolescent
, ,	Not key QA	Not implemented widely but unlike to change relative to this QA
Auditability	Negative	Immature
	Difficult to audit how large data sets are handled	Year old and not implemented widely
Availability	<u>Minimal</u>	Adolescent
	Not key QA	Not implemented widely but unlike to change relative to this QA
Extensibility	Positive	Immature
	Allows for more information to be passed in a standard way	Year old and not implemented widely
Interoperability	Positive	Immature
	Allows for better management of large shared data sets	Year old and not implemented widely
Modifiability	Minimal	Adolescent
	Not key QA	Not implemented widely but unlike to change relative to this QA
Operability and Deployability	Minimal	Adolescent
. , ,	Not key QA	Not implemented widely but unlike to change relative to this QA
Performance	Minimal	Immature
	Not key QA	Always looking for performance improvements
Reliability	Minimal	Adolescent
	Not key QA	Not implemented widely but unlike to change relative to this QA
Scalability	Positive	Immature
	Allows for handling larger data sets	Year old and not implemented widely
Security	Negative	Immature
	More places for security to be impacted	Year old and not implemented widely
Testability	Negative	Immature
	Difficult to test different enumerations and to find one that works well	Year old and not implemented widely
Usability	Positive	Immature
•	Better handling of data sets	Year old and not implemented widely

Impact Average: 0.08 Maturity Average: -0.62

WS Standard: Web Services Eventing (WS-Eventing)

Organization: Other, Ver: v0.0 9/04

Impact Maturity

Adaptability Positive

> Enables change in underlying Battling with WS-Notification and last version is 2004

mechanisms

Auditability Negative nmature

> More items that may need to be audited Battling with WS-Notification and last version

is 2004

mmature

Availability

Does nothing to guarantee underlying Battling with WS-Notification and last version

events is 2004

Extensibility Positive mmature

> Battling with WS-Notification and last version Allows for more sophisticated and unanticipated subscription scenarios is 2004

Interoperability mmature

> Does not rely on a particular mechanism Battling with WS-Notification and last version is 2004 / defines a standard for notification

Modifiability **Minimal** mmature

Not key QA Battling with WS-Notification and last version

is 2004

Operability and Positive mmature Deployability

Allows subscriber define the way Battling with WS-Notification and last version messages are delivered is 2004

Performance Negative nmature

More message between providers and Battling with WS-Notification and last version

> is 2004 users

Reliability Negative mmature

> Does nothing to guarantee reliability of Battling with WS-Notification and last version

is 2004 underlying events

Scalability Positive mmature

Standard way to specify subscription Battling with WS-Notification and last version

and notification is 2004

Security **Negative** nmature

Need to leverage other specifications Battling with WS-Notification and last version is 2004

Testability Negative mmature

More Battling with WS-Notification and last version

> specifications/scenarios/mechanisms is 2004

that need to be tested **Usability** Positive nmature

Standard way to specify subscription Battling with WS-Notification and last version

and notification is 2004

Impact Average: 0.00 *Maturity Average:* -1.00

WS Standard: Web Services Federation Language (WS-Federation)

Organization: Other, Ver: v1.0 7/03

	Impact	Maturity
Adaptability	Positive Service Users are required to know more about what security mechanisms providers are using.	Immature Not implemented widely and it interacts with 3 other standards
Auditability	Negative More information and scenarios to audit	Immature Not implemented widely and it interacts with 3 other standards
Availability	Minimal Not key QA	Adolescent Not implemented widely but unlikely to be modified relative to this QA
Extensibility	Minimal Not key QA	Adolescent Not implemented widely but unlikely to be modified relative to this QA
Interoperability	Positive Allows for multiple system to interact	Immature Not implemented widely and it interacts with 3 other standards
Modifiability	Minimal Not key QA	Adolescent Not implemented widely but unlikely to be modified relative to this QA
Operability and Deployability	Minimal	Adolescent
Performance	Not key QA Negative	Not implemented widely but unlikely to be modified relative to this QA Immature
	More messages between users and providers	Not implemented widely and it interacts with 3 other standards
Reliability	Minimal Not key QA	Adolescent Not implemented widely but unlikely to be modified relative to this QA
Scalability	Positive Can handle multiple systems	Immature Not implemented widely and it interacts with 3 other standards
Security	Positive Allows for a variety of security mechanisms to be used	Immature Not implemented widely and it interacts with 3 other standards
Testability	Negative Difficult to test scenarios for how systems will be federated	Immature Not implemented widely and it interacts with 3 other standards
Usability	Minimal Not key QA	Adolescent Not implemented widely but unlikely to be modified relative to this QA

Impact Average: 0.08 Maturity Average: -0.54

WS Standard: Web Services for Remote Portlets (WSRP)

Organization: OASIS, Ver: v2.0d 10/05

	Impact	Maturity
Adaptability	Minimal	Adolescent
	Not key QA	Although in draft, this QA not likely to change
Auditability	Minimal	Adolescent
	Not key QA	Although in draft, this QA not likely to change
Availability	Minimal	Adolescent
	Not key QA	Although in draft, this QA not likely to change
Extensibility	Positive	Immature
	New interfaces and portlets can be added	Key QA, anticipate change
Interoperability	Positive	Immature
	Provides well-defined interfaces for pluggable presentation-oriented web services	Key QA, anticipate change
Modifiability	Positive	Adolescent
	Built using existing standards	Although in draft, this QA not likely to change
Operability and Deployability	Positive	Immature
	Allows integration of new portlets in a portal without the need for custom coding or deployment activities	Key QA, anticipate change
Performance	Negative	Immature
	Allows end-user to interact directly w service	ith Key QA, anticipate change
Reliability	Minimal	Adolescent
	Not key QA	Although in draft, this QA not likely to change
Scalability	Minimal	Adolescent
	Not key QA	Although in draft, this QA not likely to change
Security	Negative	Immature
	Allows more interfaces and services be used with more areas for security be affected	, , ,
Testability	Minimal	Adolescent
	Not key QA	Although in draft, this QA not likely to change
Usability	Positive	Adolescent
	Directly targeted to end-user presentation web services	Although in draft, this QA not likely to change

Impact Average: 0.23 Maturity Average: -0.38

WS Standard: Web Services Inspection Language (WS-Inspection)

Organization: Other, Ver: v1.0 11/01

	Impact		Maturity
Adaptability	Positive		Immature
	Can allow the users t which descriptions th	•	Key QA but no activity since 2001
Auditability	Minimal Minimal		Adolescent
	Not key QA		Not key QA and also no activity since 2001
Availability	Minimal		Adolescent
	Not key QA		Not key QA and also no activity since 2001
Extensibility	Positive		Immature
	Can add new reposite descriptions as they I		Key QA but no activity since 2001
Interoperability	Positive		Immature
	Provides mechanism and utilizing existing service descriptions		Key QA but no activity since 2001
Modifiability	Minimal		Adolescent
	Not key QA		Not key QA and also no activity since 2001
Operability and Deployability	Minimal		Adolescent
	Not key QA		Not key QA and also no activity since 2001
Performance	Minimal		Adolescent
	Not key QA		Not key QA and also no activity since 2001
Reliability	Minimal		Adolescent
	Not key QA		Not key QA and also no activity since 2001
Scalability	Minimal		Immature
	Not key QA		Key QA but no activity since 2001
Security	Minimal		Adolescent
	Not key QA		Not key QA and also no activity since 2001
Testability	Minimal Minimal		Adolescent
	Not key QA		Not key QA and also no activity since 2001
Usability	Minimal		Adolescent
	Not key QA		Not key QA and also no activity since 2001

Impact Average: 0.23 Maturity Average: -0.31

WS Standard: Web Services Metadata Exchange (WS-MetadataExchange)

Organization: Other, Ver: v0.0 09/04

	Impact		Maturity	
Adaptability	Minimal		Adolescent	
	Not key QA		Unlikely to change r not clearly specified	elative to this QA but still
Auditability	Minimal Minimal		Immature	
	Not key QA		Not key QA, not clea	arly specified
Availability	Minimal		Adolescent	
	Not key QA		Unlikely to change r not clearly specified	elative to this QA but still
Extensibility	Positive		Immature	
	Allows for different ty about a service to be	•	Key QA, spec not su	ubmitted yet
Interoperability	Positive		Immature	
	Allow for exchange of services and various		Key QA, spec not su	ubmitted yet
Modifiability	Minimal Minimal		Immature	
	Not key QA		Not key QA, not clea	arly specified
Operability and Deployability	<u>Minimal</u>		Immature	
	Not key QA		Not key QA, not clea	arly specified
Performance	Minimal		Immature	
	Not key QA		Not key QA, not clea	arly specified
Reliability	Minimal Minimal		Adolescent	
	Not key QA		Unlikely to change r not clearly specified	elative to this QA but still
Scalability	Minimal Minimal		Immature	
	Not key QA		Not key QA, not clea	arly specified
Security	Minimal Minimal		Immature	
	May have security im metadata about a se retrieved		Not key QA, not clea	arly specified
Testability	Minimal		Immature	
	Not key QA		Not key QA, not clea	arly specified
Usability	Minimal		Adolescent	
	Not key QA		Unlikely to change r not clearly specified	elative to this QA but still

Impact Average: 0.15 Maturity Average: -0.69

WS Standard: Web Services Notification (WSN)

Organization: OASIS, Ver: v1.3d 7/05

	Impact	Maturity
Adaptability	Minimal	Immature
	Not key QA	Battling with WS-Eventing and last version is 2004
Auditability	Negative	Immature
	Another piece to audit	Battling with WS-Eventing and last version is 2004
Availability	Minimal	Immature
	Not key QA	Battling with WS-Eventing and last version is 2004
Extensibility	Minimal	Immature
	Not key QA	Battling with WS-Eventing and last version is 2004
Interoperability	Positive	Immature
	Standardizes how notifications are handled	Battling with WS-Eventing and last version is 2004
Modifiability	Minimal	Immature
	Not key QA	Battling with WS-Eventing and last version is 2004
Operability and Deployability	Positive	Immature
	Allows for standard way for notifying interested parties on topics	Battling with WS-Eventing and last version is 2004
Performance	Negative	Immature
	Increase in number of messages	Battling with WS-Eventing and last version is 2004
Reliability	Negative	Immature
	Lots of actors in an SOA have to be using the standard	Battling with WS-Eventing and last version is 2004
Scalability	Positive	Immature
	Use standards across an SOA	Battling with WS-Eventing and last version is 2004
Security	Negative	Immature
	More places for security to be impacted	Battling with WS-Eventing and last version is 2004
Testability	Negative	Immature
	Adds additional items that need to be tested	Battling with WS-Eventing and last version is 2004
Usability	Positive	Immature
	Standardizes notification on topics	Battling with WS-Eventing and last version is 2004

Impact Average: -0.08 Maturity Average: -1.00

WS Standard: Web Services Policy Attachment (WS-PolicyAttachment)

Organization: Other, Ver: v0.0 9/04

Impact Maturity **Adaptability** Positive **Immature** The attachment of policies to service Key QA but not submitted yet can be altered **Auditability Minimal** mmature Not key QA Not key QA but likely to change to improve auditing **Availability Minimal** Adolescent Not key QA Not key QA, probably won't change **Extensibility** Positive Immature Key QA but not submitted yet Allows for multiple policies to be attached to a service Interoperability Positive mmature Defines mechanisms for associating Key QA but not submitted yet policies with services **Modifiability** Positive **I**mmature The set of policies attached to a service Key QA but not submitted yet can be changed Operability and **Minimal** Adolescent **Deployability** Not key QA, probably won't change Not key QA **Performance** Adolescent Negative May have a performance hit if multiple Not key QA, probably won't change policies are attached to a service and the effective policy needs to be identified Adolescent Reliability **Minimal** Not key QA Not key QA, probably won't change **Minimal** Adolescent Scalability Not key QA Not key QA, probably won't change Security Positive Adolescent Allows for a security policy to be Base standard, probably won't change associated with a service **Testability** Negative mmature Difficult to test all of the policies Not key QA but likely to change to improve attached to a service and how they are testing handled **Usability Minimal** Adolescent

> Impact Average: 0.23 Maturity Average: -0.46

Not key QA, probably won't change

Not key QA

WS Standard: Web Services Policy Framework (WS-Policy)

Organization: Other, Ver: v0.0 9/04

Impact Maturity Adaptability **Positive Immature** Policies can be adapted based on Key QA but not submitted yet changes in the services **Auditability** Minimal Adolescent Not key QA Although not submitted, unlikely to change relative to this QA Availability Minimal Adolescent Not key QA Although not submitted, unlikely to change relative to this QA Positive Adolescent Extensibility Policies can extended when new Although not submitted yet, designed to be capabilities are added extensible Interoperability Positive mmature Provides for a standard way of defining Key QA but not submitted yet capabilities, requirements and characteristics of services Modifiability Positive mmature The underlying policies can be changed Key QA but not submitted yet easily Operability and Positive mmature Deployability Allows for the description of capabilities, Key QA but not submitted yet requirements and characteristics of services **Performance** Negative Adolescent Possibly more message traffic between Unlikely to change to improve performance a service provider and user Adolescent Reliability Minimal Not key QA Although not submitted, unlikely to change relative to this QA Minimal Scalability Adolescent Not key QA Although not submitted, unlikely to change relative to this QA Security Positive Adolescent Can be used to define security policy Base standard that seems extensible enough and dynamically interpreted **Testability** Negative mmature Testing that a service meets stated Not key QA but improvement needed for policies may be difficult testing Usability Minimal Adolescent Not key QA Although not submitted, unlikely to change

Impact Average: 0.31 Maturity Average: -0.38

relative to this QA

WS Standard: Web Services Reliable Messaging (WS-Reliability)

Organization: OASIS, Ver: v1.1 11/04

Impact Maturity

Adaptability Positive

Different network transportation

technologies can be used

Auditability Minimal

Not key QA

Availability Positive

Overcomes network and software

component failures

Extensibility Minimal

Not key QA

Interoperability Minimal

Not key QA

Modifiability Minimal

Not key QA

Operability and Deployability

Positive

Overcomes problems with failures

Performance Negative

Increases size of messages

Reliability Positive

Key QA - provides reliable messaging

Scalability Minimal

Not key QA

Security Minimal

Acknowledgement of message reaching

destination

Testability Negative

Difficulties in testing failure scenarios

Usability Positive

Overcomes problems with failures

mmature

Battling with WS-ReliableMessaging, major

companies on both sides

Immature

Battling with WS-ReliableMessaging, major

companies on both sides

mmature

Battling with WS-ReliableMessaging, major

companies on both sides

mmature

Battling with WS-ReliableMessaging, major

companies on both sides

Immature

Battling with WS-ReliableMessaging, major

companies on both sides

mmature

Battling with WS-ReliableMessaging, major

companies on both sides

mmature

Battling with WS-ReliableMessaging, major

companies on both sides

mmature

Battling with WS-ReliableMessaging, major

companies on both sides

mmature

Battling with WS-ReliableMessaging, major

companies on both sides

mmature

Battling with WS-ReliableMessaging, major

companies on both sides

Immature

Battling with WS-ReliableMessaging, major

companies on both sides

Immature

Battling with WS-ReliableMessaging, major

companies on both sides

Immature

Battling with WS-ReliableMessaging, major

companies on both sides

Impact Average: 0.23 Maturity Average: -1.00

WS Standard: Web Services Reliable Messaging Protocol (WS-ReliableMessaging)

Organization: OASIS, Ver: v1.0 2/05

	Impact	Maturity	
Adaptability	Positive	Immature	
	Different network transport technologies can be used	Battling with WS-Reliability, major companies on both sides	
Auditability	Minimal	Immature	
	Not key QA	Battling with WS-Reliability, major companies on both sides	
Availability	Positive	Immature	
	Overcomes problems with failures	Battling with WS-Reliability, major companies on both sides	
Extensibility	Minimal	Immature	
	Not key QA	Battling with WS-Reliability, major companies on both sides	
Interoperability	Minimal	Immature	
	Not key QA	Battling with WS-Reliability, major companies on both sides	
Modifiability	Minimal	Immature	
	Not key QA	Battling with WS-Reliability, major companies on both sides	
Operability and Deployability	Positive	Immature	
	Overcomes problems with failures	Battling with WS-Reliability, major companies on both sides	
Performance	Negative	Immature	
	Increases size of messages	Battling with WS-Reliability, major companies on both sides	
Reliability	Positive	Immature	
	Overcomes failures in networks and software components	Battling with WS-Reliability, major companies on both sides	
Scalability	Minimal	Immature	
	Not key QA	Battling with WS-Reliability, major companies on both sides	
Security	Minimal	Immature	
	Not key QA	Battling with WS-Reliability, major companies on both sides	
Testability	Negative	Immature	
	Difficulties in testing failure scenarios	Battling with WS-Reliability, major companies on both sides	
Usability	Positive	Immature	
	Overcomes problems with failures	Battling with WS-Reliability, major companies on both sides	
_			

Impact Average: 0.23 Maturity Average: -1.00

WS Standard: Web Services Resource (WS-Resource)

Organization: OASIS, Ver: v1.2d 10/05

Impact Maturity **Adaptability Minimal** mmature Not key QA Although not key QA, standard is in an active working group **Auditability Minimal** nmature Not key QA Although not key QA, standard is in an active working group **Availability** Minimal Adolescent Does not guarantee availability of Not key QA and is not likely to change resource Positive **Extensibility** Immature Extensions can be made to the existing Key QA and still in active working group resource handling Interoperability Positive Immature Provides a standard mechanism for Key QA and still in active working group describing resources across organizations Modifiability **Minimal** mmature Although not key QA, standard is in an active Not key QA working group Operability and Positive nmature **Deployability** Allows for aggregation of resource and Key QA and still in active working group service information into dictionaries which can be published **Performance** Minimal Adolescent Not key QA Not key QA and is not likely to change Reliability **Minimal** Adolescent Not key QA Not key QA and is not likely to change Scalability Positive mmature Key QA and still in active working group New resources can be added **Security** Minimal mmature Not key QA Although not key QA, standard is in an active working group **Testability Minimal** Adolescent Not key QA Not key QA and is not likely to change Usability Positive mmature

Impact Average: 0.38 Maturity Average: -0.69

Key QA and still in active working group

Provides for standardized forms of

messages for interacting with a resource

WS Standard: Web Services Secure Conversation Language (WS-

SecureConversation)

Organization: Other, Ver: v0.0 2/05

	Impact		Maturity	
Adaptability	Minimal		Adolescent	
	Not key QA		Not submitted yet bur relative to this QA	t unlikely to be modified
Auditability	Minimal		Immature	
	Not key QA		4 years and not subn	nitted yet
Availability	Minimal		Immature	
	Not key QA		4 years and not subn	nitted yet
Extensibility	Minimal		Adolescent	
	Not key QA		Not submitted yet bu relative to this QA	t unlikely to be modified
Interoperability	Positive		Immature	
	Defines standard for hacross systems	handling security	4 years and not subn	nitted yet
Modifiability	Minimal		Immature	
	Not key QA		4 years and not subn	nitted yet
Operability and Deployability	Minimal		Immature	
	Not key QA		4 years and not subn	nitted yet
Performance	Minimal		Immature	
	Not key QA		4 years and not subn	nitted yet
Reliability	Minimal		Adolescent	
	Not key QA		Not submitted yet bur relative to this QA	t unlikely to be modified
Scalability	Minimal		Adolescent	
	Not key QA		Not submitted yet bu relative to this QA	t unlikely to be modified
Security	Positive		Immature	
	Establishes context, s session keys	sharing and	4 years and not subn	nitted yet
Testability	Negative		Immature	
	More scenarios for te	sting	4 years and not subn	nitted yet
Usability	Minimal Minimal		Immature	
	Not key QA		4 years and not subn	nitted yet

Impact Average: 0.08 Maturity Average: -0.69

WS Standard: Web Services Security (WS-Security)

Organization: OASIS, Ver: 1.0 3/04

Impact Maturity **Adaptability Minimal** Mature Not key QA Widely implemented **Auditability** Negative Adolescent More information needs to be audited As auditing is addressed better, changes might happen **Minimal Availability** Mature Establish secure communication but no Widely implemented guarantee of service failure **Extensibility** Mature Positive Security messages are extensible and Widely implemented additional fields can be added Interoperability Positive Mature Allows for loose or tightly coupled Widely implemented systems, requires policies to be well defined Modifiability Positive Mature Underlying service can change without Widely implemented change in message Mature Operability and Minimal **Deployability** Not key QA Widely implemented **Performance** Negative Adolescent Additional message and increased size Always looking for ways to improve performance Reliability Positive Mature Establish secure communication Widely implemented **Minimal** Mature **Scalability** Not key QA Widely implemented Security Adolescent Positive Built for confidential message Although widely implemented, this key QA may be affected transmission **Testability** Adolescent Negative More messages and scenarios to be As testing is addressed better, changes might happen tested Usability Minimal Mature Not key QA Widely implemented

Impact Average: 0.15 Maturity Average: 0.69

WS Standard: Web Services Security Policy Language (WS-SecurityPolicy)

Organization: Other, Ver: v1.1 7/05

	Impact	Maturity		
Adaptability	Negative	Immature		
	Need to rewrite engine to support additional specification mechanisms	Recently released, relies on other immature standards		
Auditability	Negative	Immature		
	Difficulty in auditing multiple policies and underlying security	d Recently released, relies on other immature standards		
Availability	Minimal	Adolescent		
	Not key QA	Although recently released, unlikely to change relative to this QA		
Extensibility	Positive	Immature		
	Can be extended to handle additional security specifications	Recently released, relies on other immature standards		
Interoperability	Positive	Immature		
	Generic to a security specification and not confined to use WS-Security	Recently released, relies on other immature standards		
Modifiability	Negative	Immature		
	Have to be re-implemented for each security spec to verify policy	Recently released, relies on other immature standards		
Operability and Deployability	<u>Minimal</u>	Adolescent		
. , ,	Not key QA	Although recently released, unlikely to change relative to this QA		
Performance	Negative	Immature		
	More messages and increase in message size	Although recently released, performance improvements are unlikely		
Reliability	Minimal	Adolescent		
	Not key QA	Although recently released, unlikely to change relative to this QA		
Scalability	Positive	Immature		
	Can handle multiple specification mechanisms	Recently released, relies on other immature standards		
Security	Positive	Immature		
	Build specifically for managing security	Recently released, relies on other immature standards		
Testability	Negative	Immature		
	Difficult to test underlying security specifications and policies	Recently released, relies on other immature standards		
Usability	Minimal	Adolescent		
	Not key QA	Although recently released, unlikely to change relative to this QA		

Impact Average: -0.08 Maturity Average: -0.69

WS Standard: Web Services Transaction Management (WS-TXM)

Organization: OASIS, Ver: v1.0 7/03

Impact Maturity **Adaptability Minimal** mmature Not key QA Although released in 2003, it has not been incorporated into products yet. **Auditability Minimal** nmature Although released in 2003, it has not been Not key QA incorporated into products yet. **Minimal Availability** Although released in 2003, it has not been Not key QA incorporated into products yet. Positive **Extensibility** mmature Although released in 2003, it has not been Allows for different transaction models incorporated into products yet. Interoperability **Positive** nmature Although released in 2003, it has not been Defines mechanisms for structuring long running transactions across applications incorporated into products yet. and services Modifiability **Minimal** mmature Although released in 2003, it has not been Not key QA incorporated into products yet. Operability and Positive nmature **Deployability** Allows for long-running transactions to Although released in 2003, it has not been be handled incorporated into products yet. Performance **Negative** mmature Although released in 2003, it has not been More messages and coordination incorporated into products yet. needed Reliability Positive Mechanisms for handling the reliable Although released in 2003, it has not been execution of transactions incorporated into products yet. Scalability Minimal Although released in 2003, it has not been Not key QA incorporated into products yet. Security Negative Immature Although released in 2003, it has not been More places where security could be incorporated into products yet. impacted **Testability Minimal** mmature Although released in 2003, it has not been Not key QA incorporated into products yet. **Usability Minimal** nmature Although released in 2003, it has not been Not key QA

Impact Average: 0.15 Maturity Average: -1.00

incorporated into products yet.

WS Standard: Web Services Trust Language (WS-Trust)

Organization: Other, Ver: v0.0 2/05

	Impact	Maturity		
Adaptability	Minimal	Adolescent		
	Not key QA	Not key QA, unlikely to change relative to this QA		
Auditability	Negative	Immature		
	More specifications and scenarios to be audited	Key security standard, recently updated (2005)		
Availability	Positive	Immature		
	Ability to establish more trustworthy services	Key security standard, recently updated (2005)		
Extensibility	Minimal	Adolescent		
	Not key QA	Not key QA, unlikely to change relative to this QA		
Interoperability	Positive	Immature		
	Defines standards for handling secure communications	Key security standard, recently updated (2005)		
Modifiability	Minimal	Adolescent		
	Not key QA	Not key QA, unlikely to change relative to this QA		
Operability and	Minimal	Adolescent		
Deployability		No.		
	Not key QA	Not key QA, unlikely to change relative to this QA		
Performance	Negative	Immature		
	More messages may need to be transferred	Performance may need to be improved		
Reliability	Minimal	Adolescent		
	Not key QA	Not key QA, unlikely to change relative to this QA		
Scalability	Minimal	Immature		
	Not key QA	Scalability may need to be improved		
Security	Positive	Immature		
	Extends WS-Security for secure communication	Key security standard, recently updated (2005)		
Testability	Negative	Immature		
	More specifications and scenarios to be tested	Key security standard, recently updated (2005)		
Usability	Minimal	Adolescent		
	Not key QA	Not key QA, unlikely to change relative to this QA		

Impact Average: 0.00 Maturity Average: -0.54

WS Standard: WS-Addressing or WS-MessageDelivery

Organization: W3C, Ver: v0.0d 8/04

Impact Maturity

Adaptability Positive

Addressing and Message delivery

options can be changed

Auditability Minimal

Not key QA

Positive

Improves message transmission

Extensibility Positive

Easily to add fields and formatting to

underlying SOAP message

Interoperability Positive

A standard way of identifying endpoints

Modifiability Minimal

Not key QA

Operability and Deployability

Availability

Positive

Improves reliability of message

transmissions

Performance Negative

Adds additional information in messages

making them larger

Reliability Positive

Improves reliability of message

transmission

Scalability Positive

Improves message transmission

Security Positive

Secures end-to-end endpoints in

messages

Testability Minimal

Not key QA: but endpoint addressing

improved

Usability Minimal

Not key QA

Immature

Battle between these 2 standards

Adolescent

Not key so neither standard will change for

this QÁ

mmature

Battle between these 2 standards

Immature

Battle between these 2 standards

mmature

Battle between these 2 standards

Adolescent

Not key so neither standard will change for

this QÁ

Immature

Battle between these 2 standards

mmature

Battle between these 2 standards

mmature

Battle between these 2 standards

mmature

Battle between these 2 standards

Immature ____

Battle between these 2 standards

Immature

Battle between these 2 standards

Adolescent

Not key so neither standard will change for

this QA

Impact Average: 0.54 Maturity Average: -0.77

WS Standard: XML-Encryption Organization: W3C, Ver: rec 3/02

Impact Maturity

Adaptability Minimal Adolescent

Not key QA Older standard, not supported widely in commercial products

Auditability Negative Immature

More information needs auditing but May be impacted by future protocols for

information is encrypted auditing

Availability

Minimal

Not key QA

Older standard, not supported widely in

commercial products

Extensibility

Minimal

Adolescent

Not key QA

Older standard, not supported widely in commercial products

Interoperability

Not key QA

Older standard, not supported widely in commercial products

Modifiability Minimal Adolescent

Not key QA Older standard, not supported widely in commercial products

Operability and Minimal Adolescent
Deployability

Not key QA Older standard, not supported widely in commercial products

Performance Negative Immature

Encryption and Decryption needed Always looking for improvements in which requires extra time to process performance

Reliability Minimal Adolescent

messages

Not key QA Older standard, not supported widely in commercial products

Scalability

Minimal

Not key QA

Older standard, not supported widely in commercial products

Security Positive Immature

Encryption of messages May be impacted as new security features appear

Testability

Negative

More scenarios to test

May be impacted as new features need to be

tested

Usability

Negative

Encryption may cause delays in user

Older standard, not supported widely in

responses commercial products

Impact Average: -0.23 Maturity Average: -0.31

WS Standard: XML-Signature

Reliability

Organization: W3C, Ver: rec 2/02

Impact Maturity

Adaptability Minimal Adolescent

Not key QA Older standard, not supported widely in

commercial products

Auditability Negative Adolescent

More information and scenarios need to Older standard, not supported widely in

be audited commercial products

Availability Minimal Adolescent

Not key QA Older standard, not supported widely in

commercial products

Extensibility Minimal Adolescent

Not key QA Older standard, not supported widely in commercial products

Interoperability Positive Adolescent

Once keys are established XML Older standard, not supported widely in

documents can be exchanged between commercial products systems

Modifiability Minimal Adolescent

Not key QA Older standard, not supported widely in

commercial products

Operability and Minimal Adolescent

Deployability

Not key QA: requires keys to be Older standard, not supported widely in

allocated and managed commercial products

Performance Minimal Adolescent

Positive

Not key QA Older standard, not supported widely in commercial products

Adolescent

commercial products

Guarantee only user with key can Older standard, not supported widely in

access message content commercial products

Scalability Minimal Adolescent Adolescent

Not key QA Older standard, not supported widely in commercial products

Security Positive Immature

Associates a key with data passed in a May change since it is security related message, needs additional standards

Testability Negative Adolescent

Difficulty testing without the keys sorted Older standard, not supported widely in

t commercial products

Usability Minimal Adolescent Adolescent

Not key QA Older standard, not supported widely in commercial products

Impact Average: 0.08 Maturity Average: -0.08

References

URLs are valid as of the publication date of this document.

[Albert 02]	Albert, C. & Brownsword, L. <i>Evolutionary Process for Integrating COTS-Based Systems (EPIC): An Overview</i> (CMU/SEI-2002-TR-009, ADA405844). Pittsburgh, PA: Software Engineering Institute, Carnegie Mellon University, 2002. http://www.sei.cmu.edu/publications/documents/02.reports/02tr009.html
[Bass 03]	Bass, L.; Clements, P.; & Kazman, R. <i>Software Architecture in Practice, Second Edition</i> . Boston, MA: Addison-Wesley, 2003 (ISBN 0-321-15495-9).
[DAU 04]	Defense Acquisition University (DAU). <i>Defense Acquisition Guidebook</i> . http://akss.dau.mil/dag/DoD5000.asp (2004).
[DoD 03a]	Department of Defense. DoD Directive The Defense Acquisition System (DoD 5000.1). May 2003.
[DoD 03b]	Department of Defense. DoD Instruction Operation of the Defense Acquisition System (DoDI 5000.2). May 2003.
[DoD 05]	Department of Defense. <i>Technology Readiness Assessment (TRA) Deskbook.</i> http://www.defenselink.mil/ddre/doc/tra_deskbook_2005.pdf (2005).
[O'Brien 05]	O'Brien, L.; Bass, L; & Merson, P. <i>Quality Attributes and Service-Oriented Architectures</i> (CMU/SEI-2005-TN-014). Pittsburgh, PA: Software Engineering Institute, Carnegie Mellon University, 2005. http://www.sei.cmu.edu/publications/documents/05.reports/05tn014.html
[SEI 05]	Software Engineering Institute. <i>Guide to Interoperability:</i> Procuring Interoperable Components. http://www.sei.cmu.edu/isis/guide/engineering/procurement.htm

CMU/SEI-2006-TN-001 55

(2005).

[Smith 04]

Smith, J. *An Alternative to Technology Readiness Levels for Non-Developmental Item (NDI) Software* (CMU/SEI 2004-TR-013). Pittsburgh, PA: Software Engineering Institute, Carnegie Mellon University, 2004.

http://www.sei.cmu.edu/publications/documents/04.reports/04tr013.html

REPORT DOCUMENTATION PAGE					Form Approved OMB No. 0704-0188			
Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters								
		n Operations and Reports, 1215 Jefferson Dark Reduction Project (0704-0188), Washingt		I, Arlington, VA	22202-4302, and to the Office of			
1.	AGENCY USE ONLY	2. REPORT DATE		3. REPORT	TYPE AND DATES COVERED			
	(Leave Blank)	February 2006		Final				
4.	TITLE AND SUBTITLE			5. FUNDING	NUMBERS			
Acquiring Evolving Technologies: Web Services Standards				FA872	1-05-C-0003			
6.	AUTHOR(S)		Į.					
	Harry L. Levinson, Lia	m O'Brien						
7.	PERFORMING ORGANIZATION	NAME(S) AND ADDRESS(ES)		8. PERFORMING ORGANIZATION REPORT NUMBER CMU/SEI-2006-TN-001				
	Software Engineering							
	Carnegie Mellon Unive	ersity						
	Pittsburgh, PA 15213	(1)		10				
9.	HQ ESC/XPK	GENCY NAME(S) AND ADDRESS(ES)		IU. SPONSOF	RING/MONITORING AGENCY			
	5 Eglin Street							
	Hanscom AFB, MA 01	731-2116						
11.	SUPPLEMENTARY NOTES		1					
12A	DISTRIBUTION/AVAILABILITY S	STATEMENT		12B DISTRIBU	TION CODE			
	Unclassified/Unlimited	, DTIC, NTIS						
13.	ABSTRACT (MAXIMUM 200 WC	ORDS)						
	Software development	t projects rarely are started or pr	oceed without risk	s involving t	he technologies used.			
Typically, many facets of a project such as system functionality and tool support depend on the availability of					end on the availability of			
a specific technology. This dependency poses risks: the required technology can disappear within the								
	project's life cycle or a	promised technology may not b	e available when i	t's required.				
	A popular software ted	chnology today, Web services sta	andards, is a widel	ly supported	I approach to			
		e-oriented architecture. Because						
		xibility to large projects, commer						
		ire computer-based systems. In						
designed assume the availability of products built upon a stable and effective set of Web services standards.								
	This assumption presents project stakeholders with a large technology availability risk.							
	This technical note discusses some of the challenges of using Web services standards and presents the							
results generated by an assessment tool used to track the appropriateness of using this technology. The								
appendix includes an example built using the authors' opinions about the current level of appropriateness of								
		andards in a typical, large softwa	are-intensive proje	ct.				
14.	SUBJECT TERMS			15. NUMBER	OF PAGES			
		pperability, security, software-intensi		64				
assessment, maturity, life cycle, reuse, service-oriented architecture, SOA,								
web services, standard, software development, risk								
16.	PRICE CODE							
17	SECURITY CLASSIFICATION	18. SECURITY CLASSIFICATION OF	19. SECURITY CLASS	SIFICATION OF	20. LIMITATION OF ABSTRACT			
'/.	OF REPORT	THIS PAGE	ABSTRACT					
	Unclassified	Unclassified	Unclassified					